

Docket No. 50659/JPW/JML

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

March 10, 1997

Washington, D.C. 20231

Box: Patent Application S I R:

Transmitted herewith for filing are the specification and claims of patent application of:	the
60 2-00 3-00 400 5-d	
David Baltimore, Genhong Cheng, Aileen Cleary, Seth Lederman and Zheng-sheng Ye	for
Inventor(s)	
TRUNCATED CRAF1 INHIBITS CD40 SIGNALING	
Title of Invention	
Also enclosed are:	
X = 11 sheet(s) ofinformal X formal drawings.	
Oath or declaration of Applicant(s).	
A power of attorney	
An assignment of the invention to	
X A Preliminary Amendment	
$\frac{X}{X}$ A verified statement to establish small entity status under 37 C.F.I §1.9 and §1.27.	R.
The filing fee is calculated as follows:	
CLAIMS AS PILED. LESS ANY CLAIMS CANCELLED BY AMENDMENT	

						RA	TE		F	EE
	NUMBER FILED		NUMBER EXTRA*		SMALL ENTITY		OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	20 -20	-	0	x	\$ 11		\$ 22	=	\$ 0	\$
Independent Claims	2 -3	-	0	x	\$ 40		\$ 80	-	\$ 0	\$
Multiple Depe	ndent ted:		Yes X	No	\$ 130		\$ 260	-	\$ 0	\$
*If the different in Col. 1 is					BASIC FEE			\$ 385	\$ 770	
less than zero, enter "0" in Col. 2				TOTAL FEE			\$ 385	\$		

David Baltimore et al. U.S. Serial No. Not Yet Known Filed: Herewith

Letter of Transmittal Page 2

<u>_x</u> _	A check in the a	mount of $$385.00$ to cover the filing fee.
	Please charge Depot \$	posit Account No in the amount
<u> </u>	fees which may be	is hereby authorized to charge any additional e required in connection with the following er-payment to Account No. 03-3125 :
	X Filing fees	under 37 C.F.R. §1.16.
	X Patent appl. §1.17.	ication processing fees under 37 C.F.R.
	X The issue for of the Notice §1.311(b).	ee set in 37 C.F.R. §1.18 at or before mailing ce of Allowance, pursuant to 37 C.F.R.
_X	Three copies of	this sheet are enclosed.
	A certified copy	of previously filed foreign application filed in on Applicant(s) hereby claim priority
	based upon this a U.S.C. §119.	Applicant(s) hereby claim priority aforementioned foreign application under 35
<u>X</u>	Other (identify)	Express Mail Certificate of Mailing bearing Label No. EI239 299 250US dated March 10, 1997 and one loose set of formal drawings.

Respectfully submitted,

John P. White

Registration No. 28,678 Attorney for Applicants

Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400



Dkt. 50659/JPW/JML

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David Baltimore et al.

Serial No. : Not Yet Known

Filed : Herewith

Title : TRUNCATED CRAF1 INHIBITS CD40 SIGNALING

1185 Avenue of the Americas

New York, N.Y. 10036

March 10, 1997

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

PRELIMINARY AMENDMENT

Please amend the subject application as follows:

In the Claims:

Please cancel claims 21-91 without prejudice.

REMARKS

Applicants hereinabove have canceled claims 21-91 without prejudice. Applicants respectfully request that these amendments be entered.

If a telephone interview would be of assistance in advancing the prosecution of the subject application, applicants' undersigned attorney hereby invites the Examiner to whom the subject application is assigned to telephone him at the number provided.

David Baltimore et al. U.S. Serial No.: Not Yet Known Filed: Herewith Page 2

No fee is deemed necessary in connection with the filing of this is required, However, if any fee Preliminary Amendment. authorization is hereby given to charge the amount any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

John P\ White

Registration No. 28,678 Attorney for Applicants Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400

Application for United States Letters Patent

To all whom it may concern:

Be it known that David Baltimore, Genhong Cheng, Aileen Cleary, Seth Lederman and Zheng-sheng Ye

have invented certain new and useful improvements in

TRUNCATED CRAFL INHIBITS CD40 SIGNALING

of which the following is a full, clear and exact description.



Dkt. 50659/JPW/JML

TRUNCATED CRAF1 INHIBITS CD40 SIGNALING

This application claims the benefit of U.S. Provisional No. 60/013,199, filed March 11, 1996, the contents of which are hereby incorporated by reference into the present application.

The invention disclosed herein was made with Government support under NIH Grant Nos. RO1-CA55713 and A122346 from the Department of Health and Human Services. Accordingly, the U.S. Government has certain rights in this invention.

15

Throughout this application, various references are referred to within parentheses. Disclosures of these publications in their entireties are hereby incorporated by reference into this application to more fully describe the state of the art to which this invention pertains. Full bibliographic citation for these references may be found in the text and at the end of this application, preceding the sequence listing and the claims.

25

20

The following standard abbreviations are used throughout to refer to amino acids:

	A	Ala	Alanine	M	Met	Methionine
	С	Cys	Cysteine	N	Asn	Asparagine
30	D	Asp	Aspartic acid	P	Pro	Proline
	E	Glu	Glutamic acid	Q	${ t Gln}$	Glutamine
	F	Phe	Phenylalanine	R	Arg	Arginine
	G	Gly	Glycine	S	Ser	Serine
	H	His	Histidine	T	Thr	Threonine
35	I	Ile	Isoleucine	Λ	Val	Valine
	K	Lys	Lysine	W	Trp	Tryptophan
	L	Leu	Leucine	Y	Tyr	Tyrosine

Background of the Invention

40 CD40 (1) is a receptor on B cells that interacts with

10

15

20

35

the helper T cell surface protein CD40L (CD40 ligand, also known as T-BAM, gp39, or TRAP) (2-4). CD40L is found particularly lymphoid follicle CD4⁺ on lymphocytes, where it delivers a contact-dependent signal that stimulates B cell survival, growth, and differentiation (2-4). Signaling through CD40 rescues B cells from apoptosis induced by Fas (CD95) or by cross-linking of the immunoglobulin M (IgM) complex (5); it also induces B cells to differentiate and to undergo Ig isotype switching (3) and to express CD80 (B7 or BB-1) (6). The crucial role of CD40L-CD40 interaction is illustrated by humans with defects in CD40L, manifest a serious immune deficiency syndrome, the Xlinked hyper-IgM syndrome (HIGMX-1) characterized by an absence of IgG, IgA, and IgE, elevated IgM, and no lymphoid follicles (7). The essential roles of CD40L and CD40 in the phenotype of HIGMX-1 syndrome has been confirmed by targeted disruption of either CD40L (8) or CD40 (9) in mice. In addition to B cells, CD40 is also expressed by follicular dendritic cells (10), dendritic cells (11), activated macrophages (12), epithelial cells (including thymic epithelium) (13), and a variety of tumor cells.

Stimulation of CD40 causes the tyrosine phosphorylation 25 of multiple substrates including Src family kinases such activates multiple serine-threonine $p53-p56^{lyn}$, kinases, induces the specific protein and phosphorylation phospholipase C-γ2 and of of phosphoinositide-3' kinase (14). 30

In mice the CD40 cytoplasmic tail is necessary for signaling (15). Proteins which interact with the cytoplasmic tail of CD40 have been described (H.M. Hu, et al., J. Biol. Chem. 269: 30069 (1994); and G. Mosialos, et al., Cell 80:389 (1995)). These proteins are the same as CRAF1.

Summary of the Invention

This invention provides a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof capable of inhibiting CD40-mediated cell activation.

This invention provides a method of inhibiting activation by CD40 ligand of cells bearing CD40 on the cell surface, comprising providing the cells with an agent capable of inhibiting CD40-mediated intracellular signaling, the agent being present in an amount effective to inhibit activation of the cells.

This invention provides a method of providing a subject with an amount of a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof effective to inhibit activation by CD40 ligand of cells bearing CD40 on the cell surface in the subject, comprising: introducing into CD40-bearing cells of the subject, a nucleic acid sequence encoding the protein under conditions such that the cells express in the subject an activation inhibiting effective amount of the protein.

This invention provides a method of treating a condition characterized by an aberrant or unwanted level of CD40-mediated intracellular signaling, in a subject, comprising providing the subject with a therapeutically effective amount of an agent capable of inhibiting CD40-mediated intracellular signaling in cells bearing CD40 on the cell surface.

This invention provides a nucleic acid molecule encoding a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof capable of inhibiting CD40-mediated

25

30

35

5

10

15

20

10

cell activation.

This invention provides a method of identifying an agent capable of inhibiting CD40-mediated intracellular signaling in a cell expressing CD40 on the cell surface, comprising providing the cell with the agent under conditions permitting activation of the cell in the absence of the agent, and determining decreased or absent activation, thereby identifying an agent capable of inhibiting CD40-mediated intracellular signaling in a cell expressing CD40 on the cell surface.

10

15

20

25

30

35

Description of the Figures

Figure 1. Predicted amino acid sequences of mouse (M) and human (H) CRAF1. The full-length mouse sequence is shown and numbered. The human sequence has one more amino acid than that of the mouse (indicated with a dot), but all numbers here refer to the mouse sequence. Dashes indicate positions in the human sequence that are identical to those in the mouse. The C26 clone obtained from the yeast two-hybrid screen contained the COOHterminal region of CRAF1 starting from the position marked with an arrow.

Figures 2A-D. Potential structural domains of CRAF1. (A) Diagrams of three TRAF family members. Percentages of amino acid identity between CRAF1 and either TRAF1 or TRAF2 are shown. The TRAF domain was defined in the COOH-terminal region of TRAF1 and TRAF2(19) (residues 356 to 562 for CRAF1) but can be subdivided into TRAF-N and TRAF-C subregions according to sequence homology with CRAF1 as will as by the mapping assaying shown in For CRAF1, the number of amino acids between Fig. 3. homologous regions is indicated. (B) Helical wheel representation of residues 287 to 342 of CRAF1. wheel starts with the inner residue Ile287 at position a and diminishes with the outer residue Asn342 at position q; "+" and "-" denote change of amino acid residues. (C) Predicted Zn fingers corresponding to residues 110 to 264 of CRAF1. (D) Zn finger from residues 45 to 106 of CRAF1. n, NH2-terminus; c, COOH-terminus.

Figure 3. Mapping the CD40 binding and homodimerization domain of CRAF1. C26NX and C26XC represent fragments from the NH $_2$ -terminus of C26 to the internal XhoI site and from the XhoI site to the COOH-terminus of CRAF1, respectively. C26 $_{\Delta}$ NB was made by deletion of the NcoI-Bgl II fragment in the 3' untranslated region of the C26

10

15

20

25

30

35

cDNA clone. The full TRAF domain of CRAF1 synthesized by the polymerase chain reaction with the use of plaque-forming units of DNA polymerase. fragments were ligated in-frame into expression vectors encoding either the LexA DNA-binding domain (LexA) or the transcriptional activation domain For CD40 binding assays, the LexA construct containing the CD40 cytoplasmic tail and various TAD fusion constructs were cotransfected into yeast strain EGY48 along with the lacz-containing reporter vector (pSH18-34). Colonies that grew up on synthetic dextrose plates without tryptophan, uracil, and histidine were replica-plated to plates with or without leucine and tested for galactose-inducible blue color LexA constructs containing the presence of x-gal. cytoplasmic tails of Fas and TNFαRII were also included in the same experiments to test their interaction with the C26 clone. For dimerization assays, various LexA fusion constructs containing different fragments of C26 were used in every combination with various TAD fusion Transformants that grew on plates lacking constructs. leucine and that showed galactose-inducible blue are **"+":** this further confirmed marked was galactosidase assays with the use of yeast grown in Transformants that grew only on liquid cultures (34). plates containing leucine but that did not show blue on x-gal plates are marked "-"; ND, experiments not done.

Figures 4A-M. Effect of C26 fusion proteins on CD40L: CD40-induced CD23 up-regulation. (A) Northern blot analysis of Ramos 2G6 transfectants. Total RNA (2 μ g) from the Jurkat T cell line (B2.7) was used for markers. In other lanes, polyadenylate-containing RNA (0.75 μ g per lane) was obtained from the untransfected Ramos 2G6 clone (Ramos) or pEBVHis/C26 Ramos transfectants (B6, C5, or D10). RNA blots of control and transfected cell lines were probed with C26 cDNA or an actin probe. (B-M)

Two-color fluorescence-activated cell sorting analysis of Ramos 2G6 and Ramos 2G6 transfectants (pEBVHis/C26 or pEBVHis/lacZ) after 18 to 24 hours of culture with medium (-), 293.CD40L cells, rIL-4, or 293.CD40 cells plus anti-CD40L mAb 5C8 (as indicated). The x and y axes represent CD20 and CD23 fluorescence, respectively. The percentage of CD20⁺ cells that express CD23 is indicated in the upper right-hand corner of each contour map. The D10 clone of pEBVHis/C26 is shown.

10

5

Figures 5A-B. cDNA nucleotide sequence and predicted amino acid sequences of mouse CRAF1. The cDNA nucleotide sequence is also deposited in GenBank with accession number U21050.

15

Figures 6A-B. cDNA nucleotide sequence and predicted amino acid sequences of human CRAF1. The cDNA nucleotide sequence is also deposited in GenBank with accession number U21092.

10

15

20

25

30

Detailed Description

This invention provides a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof capable of inhibiting CD40-mediated cell activation. In an embodiment the variant comprises a conservative amino acid substitution.

Variants can differ from naturally occurring CD40 or CD40 ligand in amino acid sequence or in ways that do not involve sequence, or both. Variants in amino acid sequence are produced when one or more amino acids in naturally occurring CD40 or CD40 ligand is substituted with a different natural amino acid, an amino acid derivative or non-native amino acid. When a nucleic acid molecule encoding the protein is expressed in a cell, one naturally occurring amino acid will generally be substituted for another. Conservative substitutions typically include the substitution of one amino acid for another with similar characteristics such substitutions within the following groups: glycine; glycine, alanine; valine, isoleucine; aspartic acid, glutamic acid; asparagine, glutamine; serine, threonine; lysine, arginine; and phenylalanine, The non-polar (hydrophobic) amino tyrosine. include alanine, leucine, isoleucine, valine, proline, phenylalanine, tryptophan and methionine. The polar neutral amino acids include glycine, serine, threonine, cysteine, tyrosine, asparagine and glutamine. positively charged (basic) amino acids include arginine, lysine and histidine. The negatively charged (acidic) amino acids include aspartic acid and glutamic acid.

Other conservative substitutions can be taken from Table 1, and yet others are described by Dayhoff in the Atlas of Protein Sequence and Structure (1988).

Table 1: Conservative Amino Acid Replacements

For Amino Acid	Code	Replace with any of
Alanine	A	D-Ala, Gly,beta-ALa, L-Cys,D- Cys
Arginine	R	D-Arg, Lys, homo-Arg, D-homo- Arg, Met, D-Met, Ile, D-Ile, Orn, D-Orn
Asparagine	N	D-Asn,Asp,D-Asp,Glu,D-Glu, Gln,D-Gln
Aspartic Acid	D	D-Asp,D-Asn,Asn, Glu,D-Glu, Gln, D-Gln
Cysteine	С	D-Cys, S-Me-Cys, Met, D-Met, Thr, D-Thr
Glutamine	Q	D-Gln, Asn, D-Asn, Glu, D-Glu, Asp, D-Asp
Glutamic Acid	E	D-Glu, D-Asp, Asp, Asn, D-Asn, Gln, D-Gln
Glycine	G	Ala, D-Ala, Pro, D-Pro, Beta- Ala, Acp
Isoleucine	I	D-Ile, Val, D-Val, Leu, D-Leu, Met, D-Met
Leucine	L	D-Leu, Val, D-Val, Met, D-Met
Lysine	K	D-Lys, Arg, D-Arg, homo-Arg, D-homo-Arg, Met, D-Met, Ile, D-Ile, Orn, D-Orn
Methionine	М	D-Met, S-Me-Cys, Ile, D-Ile, Leu, D-Leu, Val, D-Val, Norleu
Phenylalanine	F	D-Phe, Tyr, D-Thr, L-Dopa, His, D-His, Trp, D-Trp, Trans 3,4 or 5-phenylproline, cis 3,4 or 5 phenylproline
Proline	P	D-Pro, L-I-thioazolidine-4- carboxylic acid, D- or L-1- oxazolidine-4-carboxylic acid

10

15

Serine	S	D-Ser, Thr, D-Thr, allo-Thr, Met, D-Met, Met(O), D-Met(O), Val, D-Val
Threonine	Т	D-Thr, Ser, D-Ser, allo-Thr, Met, D-Met, Met(O) D-Met(O), Val, D-Val
Tyrosine	Y	D-Tyr,Phe, D-Phe, L-Dopa, His,D-His
Valine	V	D-Val, Leu, D-Leu, Ile, D-Ile, Met, D-Met

10

15

20

Other variants within the invention are those with modifications which increase peptide stability. Such variants may contain, for example, one or more nonpeptide bonds (which replace the peptide bonds) in the peptide sequence. Also included are: variants that include residues other than naturally occurring L-amino acids, such as D-amino acids or non-naturally occurring or synthetic amino acids such as beta or gamma amino acids and cyclic variants. Incorporation of D- instead of L-amino acids into the polypeptide may increase its resistance to proteases. See, e.g., U.S. Patent No. 5,219,990.

The protein of this invention may also be modified by various changes such as insertions, deletions and substitutions, either conservative or nonconservative where such changes might provide for certain advantages in their use.

embodiments, variants with amino acid 25 other substitutions which are less conservative may also result in desired derivatives, e.g., by causing changes in charge, conformation and other biological properties. Such substitutions would include for example, 30 substitution of hydrophilic residue for a hydrophobic residue, substitution of a cysteine or proline for another residue, substitution of a residue having a small side chain for a residue having a bulky side chain or substitution of a residue having a net positive charge for a residue having a net negative charge. When the result of a given substitution cannot be predicted with certainty, the derivatives may be readily assayed according to the methods disclosed herein to determine the presence or absence of the desired characteristics.

Variants within the scope of the invention include proteins and peptides with amino acid sequences having at least eighty percent homology with the COOH-terminal domain of CRAF1 (corresponding roughly to residues 415-567) or with C26 (residues 324-567 of CRAF1). More preferably the sequence homology is at least ninety percent, or at least ninety-five percent.

Just as it is possible to replace substituents of the scaffold, it is also possible to substitute functional groups which decorate the scaffold with characterized by similar features. These substitutions will initially be conservative, i.e., the replacement group will have approximately the same size, shape, hydrophobicity and charge as the original group. Nonsequence modifications may include, for example, in vivo or in vitro chemical derivatization of portions of the protein of this invention, as well as changes acetylation, methylation, phosphorylation, carboxylation or glycosylation.

30

35

20

25

5

In a further embodiment the protein is modified by chemical modifications in which activity is preserved. For example, the proteins may be amidated, sulfated, singly or multiply halogenated, alkylated, carboxylated, or phosphorylated. The protein may also be singly or multiply acylated, such as with an acetyl group, with a farnesyl moiety, or with a fatty acid, which may be

saturated, monounsaturated or polyunsaturated. The fatty acid may also be singly or multiply fluorinated. The invention also includes methionine analogs of the for example the methionine sulfone protein, methionine sulfoxide analogs. The invention also includes salts of the proteins, such as ammonium salts, including alkyl or aryl ammonium salts, sulfate, sulfate, phosphate, hydrogen phosphate, hydrogen phosphate, thiosulfate, dihydrogen carbonate, sulfonate, thiosulfonate, bicarbonate, benzoate, mesylate, ethyl sulfonate and benzensulfonate salts.

In specific embodiments the CRAF1 is mouse or human CRAF1.

15

20

10

5

invention provides a method of inhibiting This activation by CD40 ligand of cells bearing CD40 on the cell surface, comprising providing the cells with an agent capable of inhibiting CD40-mediated intracellular signaling, the agent being present in an effective to inhibit activation of the cells. In an embodiment the agent is a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof.

25

30

35

In an embodiment of the method of inhibiting activation by CD40 ligand of cells bearing CD40 on the cell surface, the cells are provided with the protein of this invention by introducing into the cells a nucleic acid sequence encoding the protein under conditions such that the cells express an amount of the protein effective to inhibit activation of the cells. The nucleic acid may be DNA (including cDNA) or RNA. It may be single or double stranded, linear or circular. It may be in the form of a vector such as a plasmid or a viral vector. Preferably the nucleic acid sequence is operably linked to a transcriptional control sequence recognized by the

host cell.

5

15

20

25

30

35

In another embodiment the agent is a small molecule. As used herein a small molecule is a compound capable of entering the cell. Preferably it has a molecular weight between 20 Da and 1x10⁶ Da, preferably from 50 Da to 2 kDa.

In an embodiment the agent is modified from a lead inhibitory agent. In an embodiment the agent specifically binds to CD40 intracellular domain.

In embodiments of the methods described herein, the CD40-bearing cells are selected from the group consisting of B cells, fibroblasts, endothelial cells, epithelial cells, T cells, basophils, macrophages, Reed-Steinberg cells, dendritic cells, renal cells, and smooth muscle cells.

In a more specific embodiment the B cells are resting B cells, primed B cells, myeloma cells, lymphocytic leukemia B cells, or B lymphoma cells. In another embodiment the epithelial cells specific In another embodiment the fibroblasts keratinocytes. are synovial membrane fibroblasts, dermal fibroblasts, pulmonary fibroblasts, or liver fibroblasts. In another specific embodiment the renal cells are selected from the group consisting of glomerular endothelial cells, mesangial cells, distal tubule cells, proximal tubule epithelial cells (e.g., parietal crescent parietal epithelial cells), visceral epithelial cells, cells of a Henle limb, and interstitial inflammatory In another embodiment the smooth muscle cells are smooth muscle cells of the bladder, vascular smooth muscle cells, aortic smooth muscle cells, coronary smooth muscle cells, pulmonary smooth muscle cells, or gastrointestinal smooth muscle cells. In a more

10

15

20

25

30

35

specific embodiment the gastrointestinal smooth muscle cells are esophageal smooth muscle cells, stomachic smooth muscle cells, smooth muscle cells of the small intestine, or smooth muscle cells of the large intestine.

This invention provides a method of providing a subject with an amount of the protein of this invention effective to inhibit activation by CD40 ligand of cells bearing CD40 on the cell surface in the subject, comprising: introducing into CD40-bearing cells of the subject, a nucleic acid sequence encoding the protein of this invention, under conditions such that the cells express in the subject an activation inhibiting effective amount of the protein.

In an embodiment of this invention the introducing of the nucleic acid into cells of the subject comprises: a) treating cells of the subject ex vivo to insert the nucleic acid sequence into the cells; and b) introducing the cells from step a) into the subject.

The subject which can be treated by the above-described methods is an animal. Preferably the animal is a mammal. Subjects specifically intended for treatment with the method of the invention include humans, as well as nonhuman primates, sheep, horses, cattle, goats, pigs, dogs, cats, rabbits, guinea pigs, hamsters, gerbils, rats and mice, as well as the organs, tumors, and cells derived or originating from these hosts.

This invention provides a method of treating a condition characterized by an unwanted level of CD40-mediated intracellular signaling, in a subject, comprising providing the subject with an amount of an agent capable of inhibiting CD40-mediated intracellular signaling in cells bearing CD40 on the cell surface.

In an embodiment the agent is a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof capable of inhibiting CD40-mediated cell activation. In an embodiment the protein is provided by introducing into CD40-bearing cells of the subject, a nucleic acid sequence encoding the protein, under conditions such that the cells express in the subject an activation inhibiting effective amount of the protein.

10

5

In an embodiment of this invention the agent is a small molecule. In an embodiment the molecule is modified from a lead inhibitory agent. In an embodiment the agent specifically binds to CD40 intracellular domain.

15

20

25

30

In an embodiment the condition is organ rejection in a subject receiving transplant organs. Examples suitable transplant organs include a kidney, heart or liver, as well as others known to those of skill in the art. In another embodiment the condition is an immune response in a subject receiving gene therapy. difficulty encountered in gene therapy is an immune response by the patient to the gene therapy vector and the proteins it expresses. Because the protein of this invention inhibits the immune response, gene therapy with the protein of this invention does not trigger an Its immunosuppressant effect also immune response. makes it useful as an adjunct to other forms of gene For example, at the same time that a vector being administered to provide a gene therapy patient with a desired gene product, the patient is also administered a vector which provides the protein of this invention.

35

In another embodiment the condition is a CD40-dependent immune response. In a specific embodiment the CD40-dependent immune response is an autoimmune response in

a subject suffering from an autoimmune disease, including but not limited to rheumatoid arthritis, Myasthenia gravis, systemic lupus erythematosus, Graves' disease, idiopathic thrombocytopenia purpura, hemolytic anemia, diabetes mellitus, a drug-induced autoimmune disease such as drug-induced lupus, psoriasis, or hyper IgE syndrome.

In another embodiment the condition is an allergic response, including but not limited to hay fever or a penicillin allergy.

In an embodiment of this invention the immune response comprises induction of CD23, CD80 upregulation, or rescue from CD95-mediated apoptosis. Because CD40, which is expressed by many tumors, is involved in rescuing cells from apoptosis, inhibitors of CD40-mediated activity are useful as adjunctive agents in chemotherapy.

20

25

30

35

15

5

In an embodiment of this invention the immune response is autoimmune manifestations of an infectious disease. specific embodiments the autoimmune In more manifestations are derived from Reiter's syndrome, spondyloarthritis, Lyme disease, HIV infections, syphilis or tuberculosis.

In an embodiment the condition is dependent on CD40 ligand-induced activation of fibroblast cells, example arthritis, scleroderma, and fibrosis. In more specific embodiments the arthritis is rheumatoid arthritis, non-rheumatoid inflammatory arthritis, arthritis with Lyme disease. orassociated In another specific embodiment the osteoarthritis. fibrosis is pulmonary fibrosis, hypersensitivity pulmonary fibrosis, or a pneumoconiosis. Examples of pulmonary fibrosis include pulmonary fibrosis secondary to adult respiratory distress syndrome, drug-induced pulmonary fibrosis, idiopathic pulmonary fibrosis, pneumonitis. Examples of hypersensitivity pneumoconiosis include asbestosis, siliconosis, orIn another specific embodiment the Farmer's lung. fibrosis is a fibrotic disease of the liver or lung, including fibrotic disease of the lung caused by rheumatoid arthritis or scleroderma, and diseases of the liver selected from the group consisting of: Hepatitis-C; Hepatitis-B; cirrhosis; cirrhosis of the liver secondary to a toxic insult; cirrhosis of the liver secondary to drugs; cirrhosis of the liver secondary to a viral infection; and cirrhosis of the liver secondary to an autoimmune disease. In a specific embodiment the toxic insult is alcohol consumption. another specific embodiment the viral infection is Hepatitis B, Hepatitis C, or hepatitis non-B non-C. In another specific embodiment the autoimmune disease is primary biliary cirrhosis, or Lupoid hepatitis.

20

25

30

5

10

15

In an embodiment of this method the condition is CD40 ligand-induced activation of dependent on specific embodiments endothelial cells. In condition dependent on CD40 ligand-induced activation of endothelial cells is selected from the group consisting atherosclerosis, reperfusion injury, allograft rejection, organ rejection, and chronic inflammatory autoimmune diseases. In a more specific embodiment the atherosclerosis is accelerated atherosclerosis In another associated with organ transplantation. specific embodiment the chronic inflammatory autoimmune rheumatoid arthritis, disease is vasculitis, scleroderma, or multiple sclerosis.

In an embodiment the condition is dependent on CD40 ligand-induced activation of epithelial cells. In a specific embodiment the epithelial cells are

10

15

20

25

30

35

keratinocytes, and the condition is psoriasis. In specific embodiment the condition an another inflammatory kidney disease, including inflammatory kidney disease not initiated by autoantibody deposition in kidney and kidney disease which is initiated by autoantibody deposition. In specific embodiments the kidney disease is selected from the group consisting of: glomerulonephritis; minimal change membranous tubular necrosis; pauci-immune disease/acute glomerulonephritis; focal segmental glomerulosclerosis; interstitial nephritis; antitissue antibody-induced glomerular injury; circulating immune-complex disease; a glomerulopathy associated with a multisystem disease; and drug-induced glomerular disease. In an embodiment the antitissue antibody-induced glomerular injury is anti-basement membrane antibody disease. In another embodiment the circulating immune-complex disease is selected from the group consisting of: infective endocarditis; leprosy; syphilis; hepatitis B; malaria; and a disease associated with an endogenous antigen. a more specific embodiment the endogenous antigen is immunoglobulin, autologous DNA, thyroglobulin, an erythrocyte stroma, a renal tubule antigen, a tumorspecific antigen, or a tumor-associated antigen. another embodiment the glomerulopathy associated with a selected from the multisystem disease is consisting of: diabetic nephropathy; systemic lupus erythematosus; Goodpasture's disease; Henoch-Schönlein polyarteritis; Wegener's granulomatosis; purpura; cryoimmunoglobulinemia; multiple myeloma; Waldenström's macroglobulinemia; and amyloidosis. In an embodiment the pauci-immune glomerulonephritis is ANCA+ pauciimmune glomerulonephritis, or Wegener's granulomatosis. In an embodiment the interstitial nephritis is druginduced interstitial nephritis. In another embodiment the kidney disease affects renal tubules, including but not limited to: a kidney disease associated with a

20

25

toxin; a neoplasia; hypersensitivity nephropathy; Sjögren's syndrome; and AIDS.

In an embodiment the condition is a smooth muscle cell-dependent disease. Examples include vascular diseases such as atherosclerosis; gastrointestinal diseases such as esophageal dysmotility, inflammatory bowel disease, and scleroderma; and bladder diseases.

In an embodiment of this method, the condition 10 associated with Epstein-Barr virus. Examples conditions virus-associated include Epstein-Barr mononucleosis, В cell tumors (particularly immunosuppressed individuals such as chemotherapy patients and those with acquired immune deficiency 15 syndrome (AIDS)), Burkitt's lymphoma, and nasopharyngeal Epstein-Barr virus (EBV) transforms cells carcinoma. using latent infection membrane protein 1 (LMP1). binds to CRAF1 (also known as LAP1)(33).

This invention provides a nucleic acid molecule encoding the protein of this invention. The nucleic acid may be DNA (including cDNA) or RNA. It may be single or double stranded, linear or circular. It may be in the form of a vector, such as a plasmid or viral vector, which comprises the nucleic acid molecule operably linked to a transcriptional control sequence recognized by a host cell transformed with the vector.

This invention provides a method of identifying an agent capable of inhibiting CD40-mediated intracellular signaling in a cell expressing CD40 on the cell surface, comprising providing the cell with the agent under conditions permitting activation of the cell in the absence of the agent, and determining decreased or absent activation, thereby identifying an agent capable of inhibiting CD40-mediated intracellular signaling in

a cell expressing CD40 on the cell surface. In an embodiment the activation comprises up-regulation of CD23. In an embodiment the conditions permitting activation of the cell comprises contacting the cell with CD40 ligand or portion thereof effective to activate the cell.

This invention will be better understood from the Experimental Details which follow. However, one skilled in the art will readily appreciate that the specific methods and results discussed are merely illustrative of the invention as described more fully in the claims which follow thereafter.

Experimental Details

Activity of N-terminal Truncated CRAF1

The yeast two-hybrid system was used to identify complementary DNAs (cDNAs) encoding protein domains that can bind to the tail. The bait for the yeast two-hybrid screen was a LexA fusion protein containing the cytoplasmic tail of the mouse CD40 receptor (from residue 219 to the COOH-terminus).

25

30

35

5

10

15

20

The cDNA library for the yeast two-hybrid screen was a mixture of oligo(dT) and random primed cDNAs constructed into the yeast expression vector YSD, which is a centromere-based, galactose-induced yeast expression vector containing the VP16 transcription activation Half of the mRNA used for cDNA synthesis was domain. isolated from uninduced 70Z cells, and the other half induced cells that were from 70Zlipopolysaccharide for 12 hours. The primary library individual clones, with an contained about 8 x 105 average insert size of 0.9 kb. From 2 x 106 clones of the murine 70Z pre-B cell cDNA library, one (C26) was

10

15

20

25

30

35

isolated that met all specificity criteria for binding to the cytoplasmic tail of CD40 in yeast. The C26 cDNA fragment was sequenced and no identical gene was evident This gene is called CRAF1 for CD40 in the databases. receptor-associated factor 1. By Northern (RNA) blot in В cell expressed analysis, CRAF1 was representing different stages of B cell differentiation; in addition, it was expressed in all murine tissues examined, including brain, heart, lung, liver, kidney, muscle, small intestine, spleen, and thymus (18).

Mouse and human cDNA libraries were screened to isolate cDNA clones encoding the entire open reading frame of a murine 567-amino acid and a human 568-amino acid The two sequences share 96% identity, with the protein. concentrated near the NH2-terminus, differences indicating that CRAF1 is evolutionarily conserved, particularly in its COOH-terminal 400 amino acids (Fig. The CRAF1 sequence is similar to that of TNF-lphareceptor-associated factors 1 and 2 (TRAF1 and TRAF2), which can complex with the cytoplasmic tail of $ext{TNF}-lpha$ receptor II (TNF α RII) (19). The COOH-terminus of CRAF1 is related by sequence to each of these TRAF proteins for 150 amino acids, wherein CRAF1 is 59 and 62% identical to TRAF1 or TRAF2, respectively (Fig. 2) (19). This homology subdivides what was termed the domain," excluding a more NH2-terminal putative coiledcoiled subdomain (TRAF-N) with which CRAF1 shares only 16 or 12% homology and defines a "TRAF-C" (for COOHterminal) domain. Because the extracellular domains of CD40 and TNF α RII are homologous, as are their ligands, these data suggest that they may make use of related but distinct signaling molecules. However, the cytoplasmic domains of CD40 and TNF aRII contain no apparent sequence homology, which suggests that the particular contacts involved in binding the signaling molecules to the receptors have diverged.

10

15

20

25

30

35

In addition to the TRAF-C domain, sequence analysis of the CRAF1 protein revealed three potential domains: an amphipathic helix, a string of Zn fingers, and a Zn ring finger domain (Fig. 2A). A helical wheel representation of the putative helix (Fig. 2B) shows that isoleucine (or occasionally leucine) repeats every seven residues through eight consecutive repeats, which implies the presence of an isoleucine zipper in analogy to the leucine zipper seen in other proteins (20). The wheel also indicates that the position next to the zipper is always hydrophobic or uncharged, whereas the other positions around the wheel include multiple charged residues and few hydrophobic ones. This strongly suggests an amphipathic structure that could be an interaction site for another such helix.

The are five repeats of potential Zn fingers just NH2terminal to the isoleucine repeats (Fig. 2C). However, the four amino acids that would contact the metal are arranged in the unique pattern Cys-X₂₋₆-Cys-X_{11,12}-His-X₃₋₇-Cys(His), instead of Cys-X₂₋₄-Cys-X_{12.13}-His-X₂₋₄-His, which is seen in classic Zn fingers (21). At the COOHterminal edge of finger 2 is a sequence (KACKYR) that could bind to DNA, which suggests that CRAF1 might be a The TRAF2 protein contains five DNA binding protein. fingers with the same pattern of repeats seen in the CRAF1 protein but with weak overall similarity (Fig.2A), suggesting that these structural units may represent a subclass of Zn finger motifs in this type of signaling In addition, a Zn ring structure was also molecule. evident in the $\mathrm{NH_2}\text{-terminus}$ of CRAF1 (Fig. 2D) (23). This ring motif has been recognized in over 40 proteins that have diverse functions related to DNA mechanics, including recombination, repair, and transcription These structural data suggest that regulation (24). CRAF1 directly transmits CD40 signals to the nucleus.

10

15

20

25

30

35

To further map the region of CRAF1 that interacts with the CD40 cytoplasmic tail, four deletion mutants of the C26 cDNA were generated and studied in the yeast system for their ability to bind to the CD40 cytoplasmic tail. was necessary TRAF-C subdomain of CRAF1 sufficient for CRAF1 to interact with CD40 (Fig. 3). Moreover, the CRAF1 protein in yeast could interact with itself, forming homodimers or oligomers, also mediated by the TRAF-C domain (Fig. 3). Quantitative analysis of β -galactosidase expression indicated that the affinity of the TRAF-C domain of CRAF1 to bind to CD40 and to dimerize with itself was not increased by addition of These data suggest that the rest of the TRAF domain. the COOH-terminal portion of the TRAF domain functions as an individual unit (the TRAF-C domain) that involved in both binding to the receptor tail and mediating dimerization.

Overexpression of the C26 partial cDNA fragment acts as a dominant negative protein, inhibiting CD40 signaling presumably by prevention of the binding of endogenous protein to the CD40 tail. Ramos 2G6 cells induced to up-regulate surface CD23 can be molecules in a contact-dependent fashion that depends on CD40L interaction with CD40 (3). Therefore, a cDNA construct was generated that drives the expression of a fusion protein (pEBVHis/C26) polyhistidine/C26 mammalian cells. The C26 cDNA fragment was cut with Eco III from yeast vector YSD, ligated into RI-Hinc Bluescript IISK+ (Stratagene), and then recloned inframe into the pEBVHisA vector (Invitrogen), with the use of Bam Hl and Kpn l cuts, to create pEBVHis/C26. Stable Ramos cell lines containing either this construct or the control construct (pEBVHis/lacZ) were isolated by electroporation and hygromycin selection.

As a negative control for the effects of C26, the eta-

10

15

20

25

30

35

galactosidase gene was expressed as a fusion protein in the same vector (pEB-VHis/lacZ) (Invitrogen). constructs were electroporated into Ramos 2G6 cells, and clones expressing a large amount of pE-BVHis/C26 mRNA prepared (Fia. 4A). CD40L-expressing cells (293.CD40L) were then cultured with Ramos 2G6 cells that either were not transfected or were stably expressing Either 2 x 10⁵ Ramos B pEBVHis/lacZ or pEBVHis/C26. cells or Ramos B cells transfected with pEBVHis/C26 or pEBVHis/lacZ were incubated for 18 to 24 hours in 0.2 ml of medium alone, in rIL-4 at a concentration of 25 ng/ml, or in the presence of 5 x 10^4 293.CD40L cells. some cases, mAb 5C8 (anti-CD40L) was added. then washed and incubated with saturating concentrations (anti-CD20) conjugated to fluorescein of mAb Leu-16 (Becton Dickinson) and mAb to CD23 isothiocyanate conjugated to phycoerythrin (Biosource International) for 45 min at 4°C in the presence of heat-aggregated IgG (80 μ g/ml) (International Enzyme). Cells were washed to remove unbound antibody before fluorescence intensity measured on a FACSCAN cytofluorograph Dickinson) with Consort 30 software.

The control and pEBVHis/lacZ-transfected Ramos lines upinhibited regulated CD23; this effect was monoclonal antibody (mAb) to CD40L (mAb 5C8). In contrast, the ability of the pEBVHis/C26 transfectants to up-regulate CD23 in response to CD40L-CD40 signals The inhibition of CD23 up-regulation by was diminished. pEB-VHis/C26 was relatively specific because recombinant interleukin-4 (rIL-4)-induced up-regulation of CD23 was not affected (Fig. 4B-M). Similar effects were seen in all three subclones of pEBVHis/C26 transfectants. the COOH-terminal region of CRAF1 represented in the C26 cDNA could block the CD40 triggering of Ramos cells.

CD40 is a type I transmembrane glycoprotein belonging to

Besides CD40, 11 other the TNF receptor superfamily. proteins have been identified in this superfamily, which includes TNF receptors I and II, the nerve growth factor (NGF) receptor, and Fas (28). Members within this sequence similarity through family share extracellular regions that contain multiple cysteinerich pseudorepeats. The common structural framework of the extracellular domain is reflected in the ability of the TNF receptor superfamily members to interact with a parallel family of TNF-related cytokine ligands. such ligands (including TNF- α , CD40L, and FasL) have been cloned that share extensive sequence identity and exist as secreted cytokines or type II transmembrane ligands (28).

15

20

25

30

35

10

5

The functions of TNF receptor superfamily members are They range from general acute phase very divergent. response and lymphocyte activation to nerve cell growth. In some circumstances, they have opposite roles. instance, Fas and TNF α RI can cause apoptosis upon ligand stimulation, whereas CD40 and NGF receptors can rescue cells from apoptosis (29). In addition, stimulation of TNF α RII, or CD40 receptor activates either TNF α RI, Because CRAF1 is very nuclear factor kappa B (30). and TRAF2, а family of TRAF1 similar to transduction proteins (the TRAF family) probably exists as downstream signal transducers of the TNF receptor superfamily. It is likely that direct binding between members of the TNF receptor family and the TRAF family will be specific because the cytoplasmic tails of these TNF receptor superfamily members are relatively short and show little or no sequence homology. with this notion, the COOH-terminal segment of CRAF1 does not interact with the tail of Fas or with $TNF\alpha RII$ However, the fact that the members of the (Fig. 3). TRAF family can form either homodimers or heterodimers could result in extensive diversity and specificity in their signal transduction pathways. It is even possible that apoptosis and cell survive may be determined by an equilibrium of dimerization between TRAF family members.

consequences of CD40 signaling The functional different stages οf cells at different for В differentiation (31). CD40 crosslinking causes resting B cells to enter into the cells cycle, enhancing the proliferative rate of some chronic lymphocytic leukemia B cells, induces some B lymphoma cells to apoptose, and 10 prevents germinal center B cells from apoptosis (14). However, CRAF1 is expressed at all stages of B cell differentiation and may be ubiquitous.

Gene Therapy 15

5

20

25

30

35

The invention features expression vectors for in vivo transfection and expression in particular cell types of CD40 receptor-associated factor truncated at the amino terminus so as to antagonize the function of wild type CD40 receptor-associated factor in an environment in which the wild-type protein is expressed introduce abnormal CD40 receptor-associated factor that acts as a dominant negative protein to inhibit CD40 signaling).

Expression constructs of CD40 receptor-associated factor polypeptides may be administered in any biologically effective carrier that is capable of effectively delivering a polynucleotide sequence encoding the CD40 receptor-associated factor to cells in vivo. Approaches include insertion of the subject gene in viral vectors including recombinant retroviruses, adenovirus, adenoassociated virus and herpes simplex virus-1, recombinant bacterial or eukaryotic plasmids. vectors transfect cells directly, plasmid DNA can be delivered with the help of, for , example, cationic

10

15

20

25

30

35

liposomes or derivatized (e.g., antibody conjugated) polylysine conjugates, gramacidin S, artificial viral envelopes or other such intracellular carriers, as well as direct injection of the gene construct or CaPO₄ precipitation carried out in vivo.

Any of the methods known in the art for the insertion of polynucleotide sequences into a vector may be used. See, for example, Sambrook et al., Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY (1989) and Ausubel et al., Current Protocols in Molecular Biology, J. Wiley & Sons, (1992), both of which are incorporated herein by reference. Conventional vectors consist of appropriate transcriptional/translational control operatively linked to the polynucleotide sequence for a anti-fibrotic polynucleotide sequence particular Promoters/enhancers may also be used polypeptide. Promoter anti-fibrotic expression of activation may be tissue specific or inducible by a metabolic product or administered substance. promoters/enhancers include, but are not limited to, the native E2F promoter, the cytomegalovirus immediate-early promoter/enhancer (Karasuyama et al., J. Exp. Med., 169: 13 (1989)); the human beta-actin promoter (Gunning et al., Proc. Natl. Acad. Sci. USA, 84: 4831 (1987); the glucocorticoid-inducible promoter present in the mouse mammary tumor virus long terminal repeat (MMTV LTR) (Klessig et al., Mol. Cell. Biol., 4: 1354 (1984)); the sequences of Moloney murine terminal repeat (Weiss et al., RNA Tumor leukemia virus (MuLV LTR) Viruses, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY (1985)); the SV40 early region promoter (Bernoist and Chambon, Nature, 290:304 (1981)); the promoter of the Rous sarcoma virus (RSV) (Yamamoto et al., Cell, 22:787 (1980)); the herpes simplex virus (HSV) thymidine kinase promoter (Wagner et al., Proc.

Natl. Acad. Sci. USA, 78: 1441 (1981)); the adenovirus promoter (Yamada et al., Proc. Natl. Acad. Sci. USA, 82: 3567 (1985)).

Expression vectors compatible with mammalian host cells for use in gene therapy of tumor cells include, for example, plasmids; avian, murine and human retroviral vectors; adenovirus vectors; herpes viral vectors; and particular, viruses. In non-replicative xoq replication-defective recombinant viruses can generated in packaging cell lines that produce only replication-defective viruses. See Current Protocols in Molecular Biology: Sections 9.10-9.14 (Ausubel et al., eds.), Greene Publishing Associcates, 1989.

15

20

25

30

35

10

5

Specific viral vectors for use in gene transfer systems See for example: Madzak et are now well established. al., J. Gen . Virol., 73: 1533-36 (1992: papovavirus SV40); Berkner et al., Curr. Top. Microbiol. Immunol., 158: 39-61 (1992: adenovirus); Moss et al., Curr. Top. Microbiol. Immunol., 158: 25-38 (1992: vaccinia virus); Curr. Top. Microbiol. Immunol., 158: 97-123 Muzyczka, (1992: adeno-associated virus); Margulskee, Curr. Top. Microbiol. Immunol., 158: 67-93 (1992: herpes simplex virus (HSV) and Epstein-Barr virus (HBV)); Miller, Curr. Top. Microbiol. Immunol., 158: 1-24 (1992:retrovirus); Brandyopadhyay et al., Mol. Cell. Biol., 4: 749-754 (1984: retrovirus); Miller et al., Nature, 357: 455-450 (1992: retrovirus); Anderson, Science, 256: (1992:retrovirus), all of which are incorporated herein by reference.

Preferred vectors are DNA viruses that include adenoviruses (preferably Ad-2 or Ad-5 based vectors), herpes viruses (preferably herpes simplex virus based vectors), and parvoviruses (preferably "defective" or non-autonomous parvovirus based vectors, more preferably

adeno-associated virus based vectors, most preferably AAV-2 based vectors). See, e.g., Ali et al., *Gene Therapy* 1: 367-384, 1994; U.S. Patent 4,797,368 and 5,399,346 and discussion below.

5

10

15

20

25

30

abnormal or wild-type CD40 receptor-Furthermore, associated factor may also be introduced into a target cell using a variety of well-known methods that use nonviral based strategies that include electroporation, liposomes, high velocity fusion with membrane bombardment with DNA-coated microprojectiles, incubation with calcium-phosphate-DNA precipitate, DEAE-dextran mediated transfection, and direct micro-injection into an For instance, anti-fibrotic single cells. polynucleotide encoding an immunosuppressant effective amount of an abnormal CD40 receptor-associated factor may be introduced into a cell by calcium phosphate coprecipitation (Pillicer et al., Science, 209: 1414-1422 (1980); mechanical microinjection and/or particle acceleration (Anderson et al., Proc. Natl. Acad. Sci. USA, 77: 5399-5403 (1980); liposome based DNA transfer (e.g., LIPOFECTIN-mediated transfection- Fefgner et al., Proc. Natl. Acad. Sci. USA, 84: 471-477 (1987), Gao and 179: 280-285, Biochem. Biophys. Res. Comm., Huang, Dextran-mediated transfection; 1991); DEAE electroporation (U.S. Patent 4,956,288); or polylysinebased methods in which DNA is conjugated to deliver DNA preferentially to liver hepatocytes (Wolff et al., Science, 247: 465-468 (1990), Curiel et al., Human Gene Therapy 3: 147-154 (1992). Each of these methods is well represented in the art. Moreover, plasmids containing sequences encoding CD40 polynucleotide isolated receptor-associated factor polypeptide may placed into cells using many of these same methods.

35

CD40 receptor-associated factor itself may also be chemically modified to facilitate its delivery to a

target cell. One such modification involves increasing the lipophilicity of the CD40 receptor-associated factor in order to increase cell surface binding and stimulate non-specific endocytosis of the polypeptide. A wide variety of lipopeptides, fatty acids, and basic polymers (e.g., tripalmitoyl-S-glycerylcysteil-serylserine; palmitic acid; polyarginine) may be linked to an anti-fibrotic polypeptide to accomplish this. See U.S. Patent 5, 219,990, incorporated herein by reference.

10

15

20

25

30

35

5

Delivery may also be effected by using carrier moieties known to cross cell membranes. For example, an abnormal CD40 receptor-associated factor may be fused to a carrier moiety, preferably by genetic fusion, and the fused construct may be expressed in bacteria or yeast techniques. Thus, polynucleotide standard using sequences encoding abnormal or wild type CD40 receptorassociated factor useful in the present invention, operatively linked to regulatory sequences, constructed and introduced into appropriate expression systems using conventional recombinant DNA techniques. The resulting fusion protein may then be purified and tested for its capacity to enter intact target cells and inhibit growth of the target cells once inside the target. For example, recombinant methods may be used to attach a carrier moiety to anti-fibrotic polynucleotide joining the polynucleotide sequence sequences by encoding for abnormal CD40 receptor-associated factor with the polynucleotide sequence encoding a carrier moiety and introducing the resulting construct into a cell capable of expressing the conjugate. Two separate sequences may be synthesized, either by recombinant means or chemically, and subsequently joined using known chemically The entire conjugate may be methods. synthesized as a single amino acid sequence.

Useful carrier moieties include, for example, bacterial

hemolysins or "blending agents" such as alamethicin or sulfhydryl activated lysins. Other carrier moieties include cell entry components of bacterial toxins such as Pseudomonas exotoxin, tetanus toxin, ricin toxin and diphtheria toxin. Other useful carrier moieties include proteins which are viral receptors, cell receptors or specific receptors that ligands for cell internalized and cross mammalian cell membranes via specific interaction with cell surface receptors. Such cell ligands include epidermal growth factor, fibroblast growth factor, transferrin and platelet derived growth factor. The carrier moiety may also include bacterial parasitic immunogens, viral immunogens, immunogens, immunoglobulins, and cytokines.

15

20

25

30

35

10

5

In one embodiment, purified human immunodeficiency virus is the carrier tat protein (HIV) type-1 Purified human immunodeficiency virus type-1 (HIV) tat protein is taken up from the surrounding medium by human cells growing in culture. See Frankel et al., Cell 55: 1189-1193, (1988); Fawell et al., Proc. Natl. Acad. Sci. 91: 664-668 (1994) (use of tat conjugate); Pepinsky et al., DNA and Cell Biology, 13: 1011-1019 (1994) (use of tat genetic fusion construct), all of which are incorporated herein by reference. See also PCT Application Serial Number PCT/US93/07833, published 3 March 1994 which describes the tat-mediated uptake of the papillomavirus E2 repressor; utilizing a fusion gene in which the HIV-1 tat gene is linked to the carboxyterminal region of the E2 repressor open reading frame. The tat protein can deliver, for example, abnormal or receptor-associated factor wild type CD40 polynucleotide sequences into cells, either in vitro or in vivo. For example, delivery can be carried out in vitro by adding a genetic fusion encoding an abnormal receptor-associated factor- tat conjugate cultured cells to produce cells that synthesize the tat

10

15

20

25

30

35

conjugate or by combining a sample (e.g., blood, bone marrow, tumor cell) from an individual directly with the conjugate, under appropriate conditions. The target cells may be in vitro cells such as cultured animal Delivery may be cells, human cells or microorganisms. carried out in vivo by administering the CD40 receptorassociated factor and tat protein to an individual in which it is to be used. The target may be in vivo cells, i.e., cells composing the organs or tissue of living animals or humans, or microorganisms found in living animals or humans. The ADP ribosylation domain from Pseudomonas exotoxin ("PE") and pancreatic ribonuclease have been conjugated to tat to confirm cytoplasmic delivery of a protein. The ADP phosphorylation domain is incapable of entering cells so that cytoplasmic delivery of this molecule would be confirmed if cell death occurs. Likewise, ribonuclease itself is incapable of entering cells so that inhibition of protein synthesis would be a hallmark of intracellular delivery using a tat conjugate.

Chemical (i.e., non-recombinant) attachment of CD40 receptor-associated factor polypeptide sequences to a carrier moiety may be effected by any means which produces a link between the two components which can withstand the conditions used and which does not alter the function of either component. Many chemical crosslinking agents are known and may be used to join an abnormal or wild-type CD40 receptor-associated factor polynucleotide sequence or polypeptide to moieties. Among the many intermolecular cross-linking succinimidyl example, for agents are, N' - (1, 2 -N, (SPDP) or pyridyldithio) propionate highly specific phenylene) bismaleimide are sulfhydryl groups and form irreversible linkages; N, N'ethylene-bis-(iodoacetamide) (specific for sulfhydryl); 1,5-difluoro-2,4-dinitrobenzene (forming and

irreversible linkages with tyrosine and amino groups). include p,p'-difluoro-m,m'-Other agents dinitrodiphenylsulfone (forming irreversible linkages with amino and phenolic groups); dimethyl adipimidate (specific for amino groups); hexamethylenediisocyante (specific for amino groups); disdiazobenzidine (specific succinimidyl histidine); tyrosine and for maleimidomethyl)cyclohexane-1-carboxylate (SMCC); maleimidobenzoyl-N-hydroxysuccinimide ester (MBS); and succinimide 4-(p-maleimidophenyl)butyrate (SMPB). The succinimidyl group of these cross-linkers reacts with a primary amine, and the thiol-reactive maleimide reacts with the thiol of a cysteine residue. See, Means and Feeney, Chemical Modification of Proteins, Holden-Day, 1974; and S.S. Wong, Chemistry of 39-43, Conjugation and Cross-Linking, CRC Press, 1971. All the cross-linking agents discussed herein are commercially available and detailed instructions for their use are available from the suppliers.

20

25

30

35

5

10

15

In clinical settings, the delivery systems for the abnormal or wild-type CD40 receptor-associated factor polynucleotide sequence can be introduced into a patient by any number of methods, each of which is familiar to persons of ordinary skill. Specific incorporation of the delivery system in the target cells occurs primarily from specificity of transfection provided by the gene delivery vehicle, cell type or tissue type expression regulatory transcriptional sequences the controlling expression of the polynucleotide, combination thereof. In other embodiments, delivery of the recombinant gene is more limited with introduction into the animal being localized by, 5,328,470) (U.S. Patent example, catheter stereotactic injection (Chen et al., Proc. Natl. Acad. Sci. USA, 91: 3054-3057 (1994).

The pharmaceutical preparation of the gene therapy construct can consist essentially of the gene delivery system in an acceptable diluent, or can comprise a slow release matrix in which the gene delivery vehicle is embedded. Where the complete gene delivery system can be produced intact from recombinant cells such as retroviral vectors, the pharmaceutical preparation can include one or more cells which produce the gene delivery system.

10

15

20

25

30

35

5

Effective amounts of the compounds of the invention may administered in any manner which is medically The method of administration may include acceptable. injections, by parenteral routes such as intravenous, intraarterial, subcutaneous, intravascular. intratumor, intraperitoneal, intramuscular, intraventricular, intraepidural, or others as well as oral, nasal, ophthalmic, rectal, topical, or inhaled. The term "pharmaceutically acceptable carrier" means one or more organic or inorganic ingredients, natural or synthetic, with which the molecule is combined to facilitate its application. A suitable carrier includes sterile saline although other aqueous and non-aqueous isotonic sterile solutions and sterile suspensions known to be pharmaceutically acceptable are known to those of ordinary skill in the art. In this regard, the term "carrier" encompasses liposomes or the HIV-1 tat protein (See Pepinsky et al., supra) as well as any plasmid and viral expression vectors. An "effective amount" refers to that amount which is capable of ameliorating or delaying progression of the diseased, degenerative or damaged condition. An effective amount can be determined on an individual basis and will be based, in part, on consideration of the symptoms to be treated and results sought. An effective amount can be determined by one of ordinary skill in the art employing such factors and using no more than routine experimentation.

10

15

20

25

30

35

In preferred methods, an effective amount of abnormal or wild-type CD40 receptor-associated factor or polynucleotide sequence encoding the factor (contained within its attendant vector; i.e., "carrier) may be directly administered to a target cell or tissue via direct injection with a needle or via a catheter of other delivery tube placed into the cell or tissue. Dosages will depend primarily on factors such as the condition being treated, the selected polynucleotide, the age, weight, and health of the subject, and may thus vary among subjects. An effective amount for a human subject is believed to be in the range of about 0.1 to about 50 ml of saline solution containing from about 1 plaque forming units (pfu)/ml \times 10⁷ to about 1 \times 10¹ polynucleotide receptor-associated factor containing, viral expression vectors.

Target cells treated by abnormal or wild-type CD40 receptor-associated factor polynucleotide sequences may be administered topically, intraocularly, parenterally, intranasally, intratracheal, intrabronchially, intramuscularly, subcutaneously or by any other means. Target cells to be treated by abnormal or wild-type CD40 receptor-associated factor protein may be administered topically, intraocularly, parenterally, intranasally, intratracheal, intrabronchially, intramuscularly, subcutaneously or by any other means.

The protein compounds of the invention are administered at any dose per body weight and any dosage frequency which is medically acceptable. Acceptable dosage includes a range of between about 0.01 and 500 mg/kg subject body weight. A preferred dosage range is between about 1 and 100 mg/kg. Particularly preferred is a dose of between about 1 and 30 mg/kg. The dosage is repeated at intervals ranging from each day to every other month. One preferred dosing regime is to

administer a compound of the invention daily for the first three days of treatment, after which the compound is administered every 3 weeks, with each administration being intravenously at 5 or 10 mg/kg body weight. Another preferred regime is to administer a compound of the invention daily intravenously at 5 mg/kg body weight for the first three days of treatment, after which the compound is administered subcutaneously or intramuscularly every week at 10 mg per subject.

10

15

20

25

30

5

The protein compounds of the invention, similarly to the therapeutic nucleotide sequences, may be delivered to in a liposome-encapsulated formulation, conjugated to carrier moieties such as IIIV tat protein. This delivery can be systemic, such as by intravascular Local means of delivery of delivery, or local. liposome-encapsulated compounds of the invention include intratumor or intraorgan injection. It also includes by catheter, such as intrahepatic local delivery vein, intrarenal delivery the portal into intraprostate delivery via the urethra, intracholecystic delivery via the bile duct, or delivery into various blood vessels of interest, particularly the coronary vessels or sites of vascular stenosis. delivery may be accomplished by inserting components into the surface of the liposomes or other carrier moieties which confer target specificity. For example, areas of inflammation might be targeted by coating the carrier liposomes with monoclonal antibodies specific for anti-CD40 ligand. Various types of tumors could be liposomes targeted by coating selectively monoclonal antibodies specific for surface antigens characteristic of the tumor cells.

The compounds of the invention may be administered as a single dosage for certain indications such as preventing immune response to an antigen to which a subject is

10

exposed for a brief time, such as an exogenous antigen administered on a single day of treatment. Examples of such an antigen would include coadministration of a compound of the invention along with a gene therapy vector, or a therapeutic agent such as an antigenic pharmaceutical or a blood product. In indications where antigen is chronically present, such as in controlling immune reaction to transplanted tissue or to chronically administered antigenic pharmaceuticals, the compounds of the invention are administered at intervals for as long a time as medically indicated, ranging from days or weeks to the life of the subject.

REFERENCES

5

10

30

- S. Paulie et al., Cancer Immunol. Immunother. 20, 23(1985); E.A. Clark and J.A. Ledbetter, Proc. Natl. Acad. Sci. U.S.A. 83, 4494 (1986); I. Stamenkovic, E.A. Clark, B. Seed, EMBO J. 8, 1403 (1989).
 - S. Lederman et al., J. Exp. Med. 175, 1091 (1992);
 R. J. Armitage et al., Nature 357, 80(1992);
 D. Graf, U. Korthauer, H.W. Mages, G. Senger, R.A. Kroczek, Eur. J. Immunol. 22, 3191 (1992);
 J.F. Gauchat et al., FEBS Lett. 315, 259 (1993).
 - 3. S. Lederman et al., J. Immunol. 149, 3817 (1992).
 - 4. D. Hollenbaugh et al., EMBO J. 11, 4313 (1992).
- T. Tsukata, J. Wu, T. Honjo, Nature 364, 645 (1993); N.J. Holder et al., Eur. J. Immunol. 23, 2368 (1993); S.L. Parry, J. Hasbold, M. Holman, G.G.B. Klaus J. Immunol. 152, 2821 (1994).
 - 6. M.J. Yellin et al., J. Immunol. 153, 666 (1994).
- 7. R.C. Allen et al., Science 259, 990 (1993); U. Korthäuer et al., Nature 361, 539 (1993); J.P. DiSanto et al., ibid., p.541; A. Aruffo et al., Cell 72, 291 (1993); R.E. Callard et al., J. Immunol. 153, 3295 (1994); N. Ramesh et al., Int. Immunol. 5, 769 (1993).
 - 8. J. Xu et al., Immunity 1, 423 (1994).
 - 9. T. Kawabe et al., ibid., p. 167.
 - F. Schrieve et al., J. Exp. Med. 169, 2043 (1989);
 E.A. Clark, K.H. Grabstein, G.L. Shu, J. Immunol.
 148, 3327 (1992).
 - 11. D.N. Hart and J.L. McKenzie, J. Exp. Med. 168, 157 (1988).
 - 12. M.R. Alderson et al., ibid. 178, 669 (1993).
 - 13. A.H. Galy and H. Spits, J. Immunol. **149**, 775 (1992).
 - 14. F.H. Durie, T.M. Foy, S.R. Masters, J.D. Laman, R.J. Noelle, Immunol. Today 15, 406 (1994).

- 15. S. Inui et al., Eur. J. Immunol. 20, 1747 (1990).
- 19. M. Rothe, S.C. Wong, W.J. Henzel, D.V. Goeddel, Cell 78, 681 (1994).
- 20. W.H. Landschulz, P.F. Johnson, S.L. McKnight, Science 240, 1759 (1988).
- 21. R.M. Evans and S.M. Hollenberg, Cell 52, 1 (1988).
- 23. P.S. Fremont, I.M. Hanson, J. Trowsdale, ibid. **64**, 483 (1991).
- 24. P.N. Barlow, B. Luisi, A. Milner, M. Elliot, R. Everett, J. Mol. Biol. 237, 201 (1994).
- 25. J.P. Siegel and H.S. Mostowski, J. Immunol. Methods 132, 287 (1990).
- 28. C.A. Smith, T. Farrah, R.G. Goodwin, Cell **76**, 959 (1994).
- 15 29. N. Itoh et al., ibid. 66, 233 (1991); L.A. Taraglis, T.M. Aryes. G.H. W. Wong, D.V. Goeddel. Ibid. 74, 845 (1993).
 - 30. M. Grilli, J.J-S. Chiu, M.J. Lenardo, Int. Rev.
 Cytol. 143, 1(1991); I. Berberich, G.L. Shu, E.A.
 Clark, J. Immunol. 153, 4357 (1994).

J. Gordon, M.J. Millsum, G.R. Guy, J.A. Ledbetter,

- J. Immunol. 140, 1425 (1988); M.F. Gruber, J.M.
 Bjorndahl, S. Nakamuar, S.M. Fu, ibid. 142, 4144
 (1989); M.K. Spriggs et al., J. Exp. Med. 176, 1543
 (1992); P. Lane et al., ibid. 177, 1209 (1993);
 D.H. Crawford and D. Catovsky, Immunology 80, 40
 (1993); A.W. Heath et al., Cell Immunol. 152, 468
 (1993); Y.J. Liu et al., Nature 342, 929 (1989).
- 32. H.M. Hu, K. O'Rourke, M.S. Boguski, V.M. Dixit, J. Biol. Chem. **269**, 30069 (1994).
- 33. G. Mosialos et al., Cell 80, 389 (1995).
- 34. J.H. Miller, in Experiments in Molecular Genetics, C. S.H.L. Press, Ed. (Cold Spring Harbor Laboratory, Cold Spring Harbor, NY, 1972).

20

31.

5

10

25

20

What is claimed is:

- 1. A protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof capable of inhibiting CD40-mediated cell activation.
 - 2. The protein of claim 1, wherein the variant comprises a conservative amino acid substitution.
 - 3. The protein of claim 1, wherein the CRAF1 is mouse CRAF1.
- 4. The protein of claim 1, wherein the CRAF1 is human CRAF1.
 - /5. A method of inhibiting activation by CD40 ligand of cells expressing CD40 on the cell surface, comprising providing the cells with an agent capable of inhibiting CD40-mediated intracellular signaling, the agent being present in an amount effective to inhibit activation of the cells.
- 6. The method of claim 5, wherein the agent is the protein of claim 1.
- 7. The method of claim 6, wherein the cells are provided with the protein by introducing into the cells a nucleic acid sequence encoding the protein under conditions such that the cells express an amount of the protein effective to inhibit activation of the cells.
- 8. The method of claim 7, wherein the nucleic acid sequence is operably linked to a transcriptional control sequence recognized by the cell.

- 9. The method of claim 7, wherein the nucleic acid sequence is a plasmid.
- 10. The method of claim 5, wherein the agent is a small molecule.
 - 11. The method of claim 5, wherein the agent is modified from a lead inhibitory agent.
- 10 12. The method of claim 5, wherein the agent specifically binds to CD40 intracellular domain.
- 13. The method of claim 5, wherein the CD40-bearing cells are selected from the group consisting of B cells, fibroblasts, endothelial cells, epithelial cells, T cells, basophils, macrophages, Reed-Steinberg cells, dendritic cells, renal cells, and smooth muscle cells.
- 14. The method of claim 13, wherein the B cells are resting B cells, primed B cells, myeloma cells, lymphocytic leukemia B cells, or B lymphoma cells.
- 15. The method of claim 5, wherein the epithelial cells are keratinocytes.
 - 16. The method of claim 5, wherein the fibroblasts are synovial membrane fibroblasts, dermal fibroblasts, pulmonary fibroblasts, or liver fibroblasts.
- 17. The method of claim 5, wherein the renal cells are selected from the group consisting of glomerular endothelial cells, mesangial cells, distal tubule cells, proximal tubule cells, parietal epithelial cells, visceral epithelial cells, cells of a Henle limb, and interstitial inflammatory cells.

- 18. The method of claim 16, wherein the parietal epithelial cells are crescent parietal epithelial cells.
- 5 19. The method of claim 5, wherein the smooth muscle cells are smooth muscle cells of the bladder, vascular smooth muscle cells, aortic smooth muscle cells, coronary smooth muscle cells, pulmonary smooth muscle cells, or gastrointestinal smooth muscle cells.
 - 20. The method of claim 19, wherein the gastrointestinal smooth muscle cells are esophageal smooth muscle cells, stomachic smooth muscle cells, smooth muscle cells of the small intestine, or smooth muscle cells of the large intestine.
 - 21. A method of providing a subject with an amount of the protein of claim 1 effective to inhibit activation by CD40 ligand of cells bearing CD40 on the cell surface in the subject, comprising:

introducing into CD40-bearing cells of the subject, a nucleic acid sequence encoding the protein of claim 1, under conditions such that the cells express in the subject an activation inhibiting effective amount of the protein.

- 22. The method of claim 21, wherein the introducing of the nucleic acid into cells of the subject comprises:
 - a) treating cells of the subject ex vivo to insert the nucleic acid sequence into the cells; and
 - b) introducing the cells from step a) into the subject.
 - 23. The method of claim 22, wherein the subject is a

20

15

25

30

mammal.

24. The method of claim 23, wherein the mammalian subject is a human.

5

10

15

25. The method of claim 21, wherein the CD40-bearing cells are selected from the group consisting of B cells, fibroblasts, endothelial cells, epithelial cells, T cells, basophils, macrophages, Reed-Steinberg cells, dendritic cells, renal cells, and smooth muscle cells.

26. The method of claim 25, wherein the B cells are resting B cells, primed B cells, myeloma cells, lymphocytic leukemia B cells, or B lymphoma cells.

27. The method of claim 21, wherein the epithelial cells are keratinocytes.

20 28. The method of claim 21, wherein the fibroblasts are synovial membrane fibroblasts, dermal fibroblasts, pulmonary fibroblasts, or liver fibroblasts.

29. The method of claim 21, wherein the renal cells are selected from the group consisting of glomerular endothelial cells, mesangial cells, distal tubule cells, proximal tubule cells, parietal epithelial cells, visceral epithelial cells, cells of a Henle limb, and interstitial inflammatory cells.

- 30. The method of claim 29, wherein the parietal epithelial cells are crescent parietal epithelial cells.
- 35 31. The method of claim 21, wherein the smooth muscle cells are smooth muscle cells of the bladder, vascular smooth muscle cells, aortic smooth muscle

25

cells, coronary smooth muscle cells, pulmonary smooth muscle cells, or gastrointestinal smooth muscle cells.

5 32. The method of claim 31, wherein the gastrointestinal smooth muscle cells are esophageal smooth muscle cells, stomachic smooth muscle cells, smooth muscle cells of the small intestine, or smooth muscle cells of the large intestine.

33. A method of treating a condition characterized by an aberrant or unwanted level of CD40-mediated intracellular signaling, in a subject, comprising providing the subject with a therapeutically effective amount of an agent capable of inhibiting

CD40-mediated intracellular signaling in cells bearing CD40 on the cell surface.

- 34. The method of claim 33, wherein the agent is the protein of claim 1.
 - 35. The method of claim 34, wherein the protein is provided by introducing into CD40-bearing cells of the subject, a nucleic acid sequence encoding the protein under conditions such that the cells express the protein according to the method of claim 21.
- 36. The method of claim 33, wherein the agent is a small molecule.
 - 37. The method of claim 33, wherein the molecule is modified from a lead inhibitory agent.
- 35 38. The method of claim 33, wherein the condition is organ rejection in a subject receiving transplant organs, or an immune response in a subject

receiving gene therapy.

39. The method of claim 38, wherein the transplant organ is a kidney, heart or liver.

5

40. The method of claim 33, wherein the condition is a CD40-dependent immune response.

10

41. The method of claim 40, wherein the CD40-dependent immune response is an autoimmune response in a subject suffering from an autoimmune disease.

The method of claim 41, wherein the autoimmune 42. disease comprises rheumatoid arthritis, Myasthenia systemic lupus 15 gravis, erythematosus, Graves' disease, idiopathic thrombocytopenia purpura, hemolytic anemia, diabetes mellitus, a drug-induced autoimmune disease, psoriasis, hyper orIgE syndrome.

20

43. A method of claim 42, wherein the drug-induced autoimmune disease is drug-induced lupus.

25

44. The method of claim 40, wherein the immune response comprises induction of CD23, CD80 upregulation, rescue from CD95-mediated apoptosis, rescue from apoptosis in a subject undergoing chemotherapy against a tumor, or autoimmune manifestations of an infectious disease.

30

45. The method of claim 44, wherein the autoimmune manifestations are derived from Reiter's syndrome, spondyloarthritis, Lyme disease, HIV infections, syphilis or tuberculosis.

35

46. The method of claim 33, wherein the condition is an allergic response.

15

20

- 47. A method of claim 46, wherein the allergic response is hay fever or a penicillin allergy.
- 48. The method of claim 33, wherein the condition is dependent on CD40 ligand-induced activation of fibroblast cells.
 - 49. The method of claim 48, wherein the condition is selected from the group consisting of arthritis, scleroderma, and fibrosis.
 - 50. The method of claim 49, wherein the arthritis is rheumatoid arthritis, non-rheumatoid inflammatory arthritis, arthritis associated with Lyme disease, or osteoarthritis.
 - 51. The method of claim 49, wherein the fibrosis is pulmonary fibrosis, hypersensitivity pulmonary fibrosis, or a pneumoconiosis.
 - 52. The method of claim 51, wherein the pulmonary fibrosis is pulmonary fibrosis secondary to adult respiratory distress syndrome, drug-induced pulmonary fibrosis, idiopathic pulmonary fibrosis, or hypersensitivity pneumonitis.
 - 53. The method of claim 51, wherein the pneumoconiosis is asbestosis, siliconosis, or Farmer's lung.
- 30 54. The method of claim 49, wherein the fibrosis is a fibrotic disease of the liver or lung.
- 55. The method of claim 54, wherein the fibrotic disease of the lung is caused by rheumatoid arthritis or scleroderma.
 - 56. The method of claim 54, wherein the fibrotic

10

15

20

disease of the liver is selected from the group consisting of:

Hepatitis-C;

Hepatitis-B;

cirrhosis;

cirrhosis of the liver secondary to a toxic insult;

cirrhosis of the liver secondary to drugs; cirrhosis of the liver secondary to a viral infection; and

cirrhosis of the liver secondary to an autoimmune disease.

- 57. The method of claim 56, wherein the toxic insult is alcohol consumption.
- 58. The method of claim 56, wherein the viral infection is Hepatitis B, Hepatitis C, or hepatitis non-B non-C.

59. The method of claim 56, wherein the autoimmune disease is primary biliary cirrhosis, or Lupoid hepatitis.

- 25 60. The method of claim 33, wherein the condition is dependent on CD40 ligand-induced activation of endothelial cells.
- The method of claim 60, wherein the condition is 61. 30 selected from the group consisting reperfusion injury, atherosclerosis, allograft rejection, organ rejection, and chronic inflammatory autoimmune diseases.
- 35 62. The method of claim 61, wherein the atherosclerosis is accelerated atherosclerosis associated with organ transplantation.

63.	The	method	of	claim	61,	where	in	the	chronic
	infl	ammatory	, au	toimmun	e di	sease	is	vas	sculitis,
	rheu	matoid	arth	ritis,	scle	roderm	a,	or	multiple
	scle	rosis.							

- 64. The method of claim 33, wherein the condition is dependent on CD40 ligand-induced activation of epithelial cells.
- 10 65. The method of claim 64 wherein the epithelial cells are keratinocytes, and the condition is psoriasis.
 - 66. The method of claim 33, wherein the condition is an inflammatory kidney disease.

15

25

30

35

- 67. The method of claim 66, wherein the inflammatory kidney disease is not initiated by autoantibody deposition in kidney.
- 20 68. The method of claim 66, wherein the kidney disease is selected from the group consisting of:

membranous glomerulonephritis;
minimal change disease/acute tubular necrosis;
pauci-immune glomerulonephritis;

focal segmental glomerulosclerosis; interstitial nephritis;

antitissue antibody-induced glomerular injury; circulating immune-complex disease; a glomerulopathy associated with a multisystem

disease; and drug-induced glomerular disease.

- 69. The method of claim 68, wherein the antitissue antibody-induced glomerular injury is anti-basement membrane antibody disease.
 - 70. The method of claim 68, wherein the circulating

immune-complex disease is selected from the group consisting of:

infective endocarditis;

leprosy;

syphilis;

hepatitis B;

malaria; and

a disease associated with an endogenous antigen.

10

15

5

- 71. The method of claim 70, wherein the endogenous antigen is DNA, thyroglobulin, an autologous immunoglobulin, erythrocyte stroma, a renal tubule antigen, a tumor-specific antigen, or a tumor-associated antigen.
- 72. The method of claim 68 wherein the glomerulopathy associated with a multisystem disease is selected from the group consisting of:

20

diabetic nephropathy;

systemic lupus erythematosus;

Goodpasture's disease;

Henoch-Schönlein purpura;

polyarteritis;

Wegener's granulomatosis;

cryoimmunoglobulinemia;

multiple myeloma;

Waldenström's macroglobulinemia; and

amyloidosis.

- 73. The method of claim 68, wherein the pauci-immune glomerulonephritis is ANCA+ pauci-immune glomerulonephritis, or Wegener's granulomatosis.
- 74. The method of claim 68, wherein the interstitial nephritis is drug-induced interstitial nephritis.

10

15

25

30

75.	The	method	of	claim	66	wherein	the	kidney	disease
	affe	ects ren	nal	tubule	s.				

- 76. The method of claim 75, wherein the kidney disease which affects renal tubules is selected from the group consisting of:
 - a kidney disease associated with a toxin;
 - a neoplasia;

hypersensitivity nephropathy;

Sjögren's syndrome; and

AIDS.

- 77. The method of claim 33, wherein the condition is a smooth muscle cell-dependent disease.
- 78. The method of claim 77, wherein the smooth muscle cell-dependent disease is a vascular disease.
- 79. The method of claim 78, wherein the vascular disease is atherosclerosis.
 - 80. The method of claim 77, wherein the smooth muscle cell-dependent disease is a gastrointestinal disease.
 - 81. The method of claim 80, wherein the gastrointestinal disease is selected from the group consisting of: esophageal dysmotility, inflammatory bowel disease, and scleroderma.
 - 82. The method of claim 77, wherein the smooth muscle cell-dependent disease is a bladder disease.
- 83. The method of claim 33, wherein the condition is associated with Epstein-Barr virus.
 - 84. The method of claim 83, wherein the condition is

selected from the group consisting of mononucleosis, B cell tumors, Burkitt's lymphoma, and nasopharyngeal carcinoma.

- 5 85. An isolated nucleic acid molecule encoding the protein of claim 1.
 - 86. The nucleic acid molecule of claim 85, wherein the molecule is DNA.

87. A vector comprising the nucleic acid molecule of claim 85 operably linked to a transcriptional control sequence recognized by a host cell transformed with the vector.

88. The vector of claim 87, wherein the vector is a plasmid.

- 89. A method of identifying an agent capable of inhibiting CD40-mediated intracellular signaling in a cell expressing CD40 on the cell surface, comprising providing the cell with the agent under conditions permitting activation of the cell in the absence of the agent, and determining decreased or absent activation, thereby identifying an agent capable of inhibiting CD40-mediated intracellular signaling in a cell expressing CD40 on the cell surface.
- 30 90. The method of claim 89, wherein the activation comprises up-regulation of CD23.
- 91. The method of claim 89, wherein the conditions permitting activation of the cell comprises contacting the cell with CD40 ligand or portion thereof effective to activate the cell.

10

15

20

10

Abstract of the Disclosure

Overexpression of a CRAF1 (CD40 receptor-associated factor 1) gene truncated by 323 to about 414 amino acids at the amino inhibits CD40-mediated cell activation, and is used to treat conditions characterized by an unwanted level of CD40-mediated intracellular signaling.

TYPE OF	
X	TAX EXEMPT UNDER INTERNAL REVENUE SERVICE
	501(c)(3)
	NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER ST
	STATES OF AMERICA
	NAME OF STATE:
	CITATION OF STATUTE:
	WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL RE
	<pre>\$\$501(a) and 501(c)(3) IF LOCATED IN THE UNIT WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCA</pre>
	OF THE UNITED STATES OF AMERICA IF LOCATED IN
	NAME OF STATE.
	CITATION OF STATUTE:
nonprof reduced	citation of statute: y declare that the nonprofit organization ide it organization as defined in 37 C.F.R. §1.
nonprof reduced TRU	v declare that the nonprofit organization ide it organization as defined in 37 C.F.R. §1.9 fees under 35 U.S.C. §41(a) and 41(b), with reg
nonprof reduced TRU by inve	y declare that the nonprofit organization ide it organization as defined in 37 C.F.R. §1.9 fees under 35 U.S.C. §41(a) and 41(b), with regINCATED CRAF1 INHIBITS CD40 SIGNALING
nonprof reduced TRU by inve	y declare that the nonprofit organization ide it organization as defined in 37 C.F.R. §1.9 fees under 35 U.S.C. §41(a) and 41(b), with regINCATED CRAF1 INHIBITS CD40 SIGNALING
nonprof reduced TRU by inve	citation of Statute: y declare that the nonprofit organization ide it organization as defined in 37 C.F.R. §1.9 fees under 35 U.S.C. §41(a) and 41(b), with reg NCATED CRAF1 INHIBITS CD40 SIGNALING ntor(s) David Baltimore, Genhong Cheng, Aileen ed in: Zheng-sheng Ye

		David Baltimore et al.	Attorney's
		Not Yet Known	Docket No: 50659
	r Issued:		
Title of	i Invention or P	atent: <u>TRUNCATED CRAF1 INHIBITS</u>	CD40 SIGNALING
6747	7 U.S. PTO		
00	3/10/97 ver	RIFIED STATEMENT (DECLARATION) C	LAIMING
	SMAI	L ENTITY STATUS UNDER 37 C.F.R.	§1.9(f)
	£	ND §1.27(d) - NONPROFIT ORGANIZ	ATION
I hereby	declare that I	am an official empowered to act	on behalf of the nonprofit
	ation identified		•
<u> </u>			
Name of	Organization:	The Trustees of Columbia Univers	ity in the City of New York
		THE TEMPORED OF COTAMBER OFFICE	10, 111 010 010, 01 1,011 1011
Address	of Organization	: 110 Low Memorial Library, Wes	t 116th Street & Broadway
Audiess	or organization		e from befece a broadway
		New York, New York 10027	
TVDE OF	ODGANIZZAMION.		•
TIPE OF	ORGANIZATION:		
Х	UNIVERSITY OR O	THER INSTITUTION OF HIGHER EDUCA	ATION
4.7		ER INTERNAL REVENUE SERVICE CO	
	501(c)(3)		
		TIFIC OR EDUCATIONAL UNDER STAT	PITE OF STATE OF THE INITED
	STATES OF AMERI		TOTE OF STATE OF THE CHILED
		CA	
	NAME OF STATE:		
	CITATION OF STA		
		S TAX EXEMPT UNDER INTERNAL REVE	
	§§501(a) and 50	<pre>1(c)(3) IF LOCATED IN THE UNITED</pre>	STATES OF AMERICA
	WOULD QUALIFY A	S NONPROFIT SCIENTIFIC OR EDUCAT	IONAL UNDER STATUTE OF STATE
		TATES OF AMERICA JF LOCATED IN T	
	NAME OF STATE:		
	CITATION OF STA	TITE	
	CITATION OF BIA	1016.	AP 4 - No Million
T homob.	- doolows that :	the managed againstical idea	tified above qualifies as a
		the nonprofit organization iden	
nonpror	it organization	as defined in 37 C.F.R. §1.90	e) tor purposes of paying
		S.C. §41(a) and 41(b), with rega	rd to the invention entitled
TRU	NCATED CRAFT INH	IBITS CD40 SIGNALING	
by inver		ltimore, Genhong Cheng, Aileen C	leary, Seth Lederman and
describe	Zheng-she	ang Ye	
describe	ad in:	_	
х	the specificati	on filed herewith	
	application ser	ial no. filed	
	patent no	ial no filed	
	pacene no.	133000	
T		inher and an amburat and last base	
		ights under contract or law have	
with the	∍ nonprofit orga	nization with regard to the abov	ve identified invention.
		he nonprofit organization are no	
		n known to have rights to the in	
no right	s to the inventi	on are held by any person, other	than the inventor, who could
not qua	lify as a small	. business concern under 37 C.F	.R. §1.9(d)* or a nonprofit
	ation under 37 C		
or Sautz.	LULUM GMGCI D/ C		
aNOTE:	Conomita	ind atatoments are manifed for	om each person comcorn or
		ied statements are required fr	
		ghts to the invention averring	g to their status as small
entitie	s. 37 C.F.R. §1.	27.	
Name:	None		
Address			
	Individual	Small Business Concern	Nonprofit Organization

Small Entity/Nonprofit
Page -2-

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 C.F.R. §1.28(b)*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing:	Mr. Jack M. Granowitz
Title In Organization:	Executive Director, Columbia Innovation Enterprise
Address: Columbia Unive	rsity, Engineering Terrace - Suite 363
West 120th Str	eet and Amsterdam? New York, New York 10027
Signature:	Jack M. Francist
Date Of Signature:	March 7, 1997



DECLARATION AND POWER OF ATTORNEY

#3

As a below-named inventor. I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TRUNCATED CRAF1 INHIBITS CD40 SIGNALING

he specification o	fwhich:			
check one)	winer.			
	is attached	hereto		
	X was filed o	m March 10, 199	7	as
	Application Serial	No. 08/813,323		
	and was amended _			
			(if ap	olicable)
365(b) of any fore international Appl pelow. I have also	eign application(s) for pa lication which designated identified below any fore	Tule 35. United States Content or inventor's certifical at least one country of eign application for pater that of the earlies	ate, o <mark>r Section</mark> her than the U nt or inv <mark>entor's</mark>	365(a) of any PCT nited States, listed certificate, or PCT
Prior Foreign App	lication(s)		Priorit	y Claimed
<u>Number</u>	Country	Filing Date	<u>Yes</u>	<u>No</u>
N/A				
				

Ç

1 hereby claim the benefit under Title 35. United States Code. Section 119(e) of any United States provisional application(s) listed below

Provisional Application No.	Filing Date	<u>Status</u>
60/013,199	March 11, 1996	Pending
I hereby claim the henefit und	er Title 35 United States Code	Section 120 of any United State
Application(s), or Section 365(c) listed below. Insofar as this application any such prior Application in Code, Section 112, I acknowledge all information known to me to Regulations, Section 1.56, which be and the national or PCT international and the national or PCT internations.	fer Title 35. United States Code, of any PCT International Applicate ration discloses and claims subject the manner provided by the first parties that duty to disclose to the United be material to patentability as decame available between the filing tonal filing date of this application	non(s) designating the United State matter in addition to that discloss paragraph of Title 35. United State States Patent and Trademark Office fined in Title 37. Code of Feder date(s) of such prior Applications
Application(s), or Section 365(c) listed below. Insofar as this application any such prior Application in Code, Section 112, I acknowledge all information known to me to Regulations, Section 1.56, which be and the national or PCT international and the national or PCT international contents.	of any PCT International Applicate atton discloses and claims subject the manner provided by the first parties the United be material to patentability as decame available between the filing	non(s) designating the United State matter in addition to that disclose paragraph of Title 35. United State States Patent and Trademark Offi efined in Title 37. Code of Feder date(s) of such prior Applications
Application(s), or Section 365(c) listed below. Insofar as this application any such prior Application in Code, Section 112, I acknowledge all information known to me to Regulations, Section 1.56, which be and the national or PCT international Application Serial No.	of any PCT International Applicate atton discloses and claims subject the manner provided by the first parties the duty to disclose to the United be material to patentability as decame available between the filing tonal filing date of this application	non(s) designating the United State matter in addition to that discloss paragraph of Title 35. United State States Patent and Trademark Office fined in Title 37. Code of Feder date(s) of such prior Applications
Application(s), or Section 365(c) listed below. Insofar as this application any such prior Application in Code, Section 112, I acknowledge all information known to me to Regulations, Section 1.56, which be and the national or PCT international Application Serial No.	of any PCT International Applicate atton discloses and claims subject the manner provided by the first parties the duty to disclose to the United be material to patentability as decame available between the filing tonal filing date of this application	non(s) designating the United State matter in addition to that discloss paragraph of Title 35. United State States Patent and Trademark Office fined in Title 37. Code of Feder date(s) of such prior Applications

And I hereby appoint

John P. White (Reg. No. 28,678); Thomas F. Moran (Reg. No. 16,579); Norman H. Zivin (Reg. No. 25,385); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Albert Wai-Kit Chan (Reg. No. 36,479); Mary Anne P. Tanner (Reg. No. 40,197); and Mary Catherine DiNunzio (Reg. No. 37,306)

and each of them, all c o Cooper & Dunham LLP, 1185 Avenue of the Americas. New York, New York 10036, my attorneys, each with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, to transact all business in the Patent and Trademark Office connected therewith and to file any International Applications which are based thereon under the provisions of the Patent Cooperation Treaty.

Please address all communications, and direct all telephone calls, regarding this application to:

John P. White, Esq. Reg No 28,678

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

Tel. (212) 278-0400

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or
first joint inventor David Baltimore
Inventor's signature
1 7 97
Cinzenship United States of America Daie of signature 6
Too this Wharf Poston Massachusetts 02109
Residence 508 Union Wharf, Boston, Massachusetts 02109
Post Office Address_same as residence address
Post Office Address
Full name of joint
inventor (if any) Genhong Cheng
inventor (i) uny)
Inventor's signature
Invenior 3 318 nata, c
Citizenship People's Republic of China Date of signature
-
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024
Post Office Address same as residence address
Full name of joint
inventor (if any) Zheng-Sheng Ye
IRVERIOR 11: UNIV
inventor in unity
Inventor's signature
Inventor's signature
Inventor's signature Cinzenship United States of America Date of signature
Inventor's signature
Inventor's signature Cinzenship United States of America Date of signature Residence 1233 York Avenue, New York, New York 10021
Inventor's signature Cinzenship United States of America Date of signature
Inventor's signature Cinzenship United States of America Date of signature Residence 1233 York Avenue, New York, New York 10021

Please address all communications, and direct all telephone calls, regarding this application to:

John P. White, Esq.	Reg No 28,678
Cooper & Dunham LLP	
1185 Avenue of the Americas	
New York, New York 10036	
Tel (212) 278-0400	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventorDavid Baltimore
Inventor's signature
Inventor's signature
Citizenship United States of America Date of signature
Residence 508 Union Wharf, Boston, Massachusetts 02109
Post Office Address same as residence address
Full name of joint
inventor (if any) Genhong Cheng
Inventor's signature
Citizenship People's Republic of China Date of signature 7/10(97)
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024
Post Office Address same as residence address
E.H. name of inin
Full name of joint Inventor (If any) Zheng-Sheng Ye
Inventor's signature
Cinzenship United States of America Date of signature
Residence 1233 York Avenue, New York, New York 10021
Post Office Address same as residence address

Please address all communications, and direct all telephone calls, regarding this applicant

John P. White, Esq.	Reg No _28,678
Cooper & Dunham LLP	
1185 Avenue of the Americas	
New York, New York 10036	
Tel. (212) 278-0400	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor David Baltimore		
Inventor's signature		
Cinzenship United States of America Date of signature		
Residence 508 Union Wharf, Boston, Massachusetts 02109		
Post Office Address same as residence address		
Full name of joint inventor (if any) Genhong Cheng		
Inventor's signature		
Citizenship People's Republic of China Date of signature		
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024		
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024		
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024		
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024		
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024 Post Office Address same as residence address Full name of joint		
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024 Post Office Address same as residence address Full name of joint inventor (if any) Zheng-Sheng Ye Inventor's signature		
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024 Post Office Address same as residence address Full name of joint inventor (if any) Zheng-Sheng Ye		
Residence 827 Leverin Avenue, Apt. 304, Los Angeles, California 90024 Post Office Address same as residence address Full name of joint inventor (if any) Zheng-Sheng Ye Inventor's signature Cinzenship United States of America Date of signature		

John P. White. Esq.	lirect all telephone calls, regarding this application to:
Cooper & Dunham LLP	
1185 Avenue of the Americas	
New York, New York 10036	
Tel. (212) 278-0400	
the knowledge that willful false statements i	herein of my own knowledge are true and that all statements I to be true; and further that these statements were made with and the like so made are punishable by fine or imprisonment, the United States Code and that such willful false statements

may jeopardize the validity of the application or any patent issued thereon.

first joint inventorSeth_Lederman
Inventor's signature Letter Lederman
Cinzenship United States of America Date of signature 4 4
Residence 533 West 112th Street, Apt. 8C, New York, New York 10025
Post Office Address same as residence address
Full name of joint inventor (if any) Aileen Cleary
Inventor's signature ailer Cleary
Citizenship United States of America Date of signature 4/2/97
Residence 60 Haven Avenue, New York, New York 10032
Post Office Address same as residence address
•



Dkt. 0575/50659/JPW/JML

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David Baltimore et al.

Serial No. : 08/813,323

Filed : March 10, 1997 Art Unit: 1815

For TRUNCATED CRAF1 INHIBITS CD40 SIGNALING

1185 Avenue of the Americas New York, New York 10036

July 30, 1997

Assistant Commissioner for Patents Washington, D.C. 20231

BOX: Application Processing Division

Special Processing and Correspondence Branch

Sir:

AMENDMENT IN RESPONSE TO MAY 30, 1997 NOTICE TO FILE MISSING PARTS OF APPLICATION AND NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

This Amendment is submitted in response to a Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(d) and Notice to Comply with Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures which was issued by the United States Patent and Trademark Office in connection with the above-identified patent application on May 30, 1997. A response to the May 30, 1997 Notice is due July 30, 1997. Accordingly, this Amendment is timely filed.

Please amend the subject application as follows: In the specification:

Please insert the Sequence Listing set forth as new pages 40-48, attached hereto as Exhibit C, after page 39 of the subject application; and

David Baltimore et al. U.S. Serial No.: 08/813,323 Filed: March 10, 1997 Page 2

Please renumber originally filed pages 40-52 as new pages 49-61.

REMARKS

Claims 1-20 are pending.

Applicants attach hereto a copy of the Notice as **Exhibit A**. Applicants hereby submit an executed Declaration and Power of Attorney pursuant to 37 C.F.R. § 1.53(d) and in compliance with 37 C.F.R. § 1.63 (**Exhibit B** hereto). The Declaration refers to the application's above-identified serial number and filing date.

The surcharge for responding to the Notice to File Missing Parts of Application under 37 C.F.R. § 1.53(d) is SIXTY-FIVE DOLLARS (\$65.00) for a small entity. Applicants previously established small-entity status and such status is still applicable. A check for this amount (\$65.00) is enclosed.

Applicants also submit herewith a Sequence Listing attached hereto as Exhibit C in compliance with the requirements of 37 C.F.R. §1.824. In addition, applicants submit herewith the Sequence Listing on the enclosed computer diskette, which has the same content as the paper copy attached as Exhibit C. Applicants submit as Exhibit D, a Statement in accordance with 37 C.F.R. §1.821(f) certifying that the computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(f) and submitted in connection with the above-identified application, has the same information which is submitted in this amendment to subject application under the section entitled "Sequence Listing" (Exhibit C).

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number

David Baltimore et al. U.S. Serial No.: 08/813,323 Filed: March 10, 1997 Page 3

_

provided below.

No fee, other than the \$65.00 surcharge fee, is deemed necessary in connection with the filing of this Amendment. If any such fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 03-3125.

Respectfully submitted,

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
Assistant Commissioner for Patents Washington, D.C. 20231.

Ollet Wai Kith 7/30/97
Albert Wai-Kit Chan Date

Reg. No. 36,479

albert waithit Cha

John P. White
Registration No. 28,678
Albert Wai-Kit Chan
Registration No. 36,479
Attorneys for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400

SEQUENCE LISTING

(1) GENERAL INFORMATION:

- (i) APPLICANT: Baltimore, David Cheng, Genhong Cleary, Aileen Lederman, Seth Ye, Zheng-sheng
- (ii) TITLE OF INVENTION: TRUNCATED CRAF1 INHIBITS CD40 SIGNALING
- (iii) NUMBER OF SEQUENCES: 5
- (iv) CORRESPONDENCE ADDRESS:
 - (A) ADDRESSEE: Cooper & Dunham, LLP
 - (B) STREET: 1185 Avenue of the Americas
 - (C) CITY: New York
 - (D) STATE: New York
 - (E) COUNTRY: USA
 - (F) ZIP: 10036
- (v) COMPUTER READABLE FORM:
 - (A) MEDIUM TYPE: Floppy disk
 - (B) COMPUTER: IBM PC compatible
 - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
 - (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
- (vi) CURRENT APPLICATION DATA:
 - (A) APPLICATION NUMBER:
 - (B) FILING DATE:
 - (C) CLASSIFICATION:
- (viii) ATTORNEY/AGENT INFORMATION:
 - (A) NAME: White, John P
 - (B) REGISTRATION NUMBER: 28,678
 - (C) REFERENCE/DOCKET NUMBER: 50659
 - (ix) TELECOMMUNICATION INFORMATION:
 - (A) TELEPHONE: (212) 278-0400
 - (B) TELEFAX: (212) 391-0525
- (2) INFORMATION FOR SEQ ID NO:1:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 566 amino acids
 - (B) TYPE: amino acid
 - (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: peptide
 - (ix) FEATURE:
 - (A) NAME/KEY: Peptide(B) LOCATION: 1..566

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

Met Glu Ser Ser Lys Lys Met Asp Ala Ala Gly Thr Leu Gln Pro Asn Pro Pro Leu Lys Leu Gln Pro Asp Arg Gly Ala Gly Ser Val Leu Val Pro Glu Gln Gly Gly Tyr Lys Glu Lys Phe Val Lys Thr Val Glu Asp Lys Tyr Lys Cys Glu Lys Cys Arg Leu Val Leu Cys Asn Pro Lys Gln Thr Glu Cys Gly His Arg Phe Cys Glu Ser Cys Met Ala Ala Leu Leu Ser Ser Ser Pro Lys Cys Thr Ala Cys Gln Glu Ser Ile Ile Lys Asp Lys Val Phe Lys Asp Asn Cys Cys Lys Arg Glu Ile Leu Ala Leu Gln Val Tyr Cys Arg Asn Glu Gly Arg Gly Cys Ala Glu Gln Leu Thr Leu Gly His Leu Leu Val His Leu Lys Asn Glu Cys Gln Phe Glu Glu 135 Leu Pro Cys Leu Arg Ala Asp Cys Lys Glu Lys Val Leu Arg Lys Asp Leu Arg Asp His Val Glu Lys Ala Cys Lys Tyr Arg Glu Ala Thr Cys Ser His Cys Lys Ser Gln Val Pro Met Ile Lys Leu Gln Lys His Glu Asp Thr Asp Cys Pro Cys Val Val Val Ser Cys Pro His Lys Cys Ser 195 200 205 Val Gln Thr Leu Leu Arg Ser Glu Leu Ser Ala His Leu Ser Glu Cys Val Asn Ala Pro Ser Thr Cys Ser Phe Lys Arg Tyr Gly Cys Val Phe Gln Gly Thr Asn Gln Gln Ile Lys Ala His Glu Ala Ser Ser Ala Val Gln His Val Asn Leu Leu Lys Glu Trp Ser Asn Ser Leu Glu Lys Lys

Val Ser Leu Leu Gln Asn Glu Ser Val Glu Lys Asn Lys Ser Ile Gln 280

Ser Leu His Asn Gln Ile Cys Ser Phe Glu Ile Glu Ile Glu Arg Gln

290 295 300 Lys Glu Met Leu Arg Asn Asn Glu Ser Lys Ile Leu His Leu Gln Arg 310 Val Ile Asp Ser Gln Ala Glu Lys Leu Lys Glu Leu Asp Lys Glu Ile Arg Pro Phe Arg Gln Asn Trp Glu Glu Ala Asp Ser Met Lys Ser Ser Val Glu Ser Leu Gln Asn Arg Val Thr Glu Leu Glu Ser Val Asp Lys Ser Ala Gly Gln Ala Ala Arg Asn Thr Gly Leu Leu Glu Ser Gln Leu Ser Arg His Asp Gln Thr Leu Ser Val His Asp Ile Arg Leu Ala Asp Met Asp Leu Arg Phe Gln Val Leu Glu Thr Ala Ser Tyr Asn Gly Val Leu Ile Trp Lys Ile Arg Asp Tyr Lys Arg Arg Lys Gln Glu Ala Val Met Gly Lys Thr Leu Ser Leu Tyr Ser Gln Pro Phe Tyr Thr Gly Tyr Phe Gly Tyr Lys Met Cys Ala Arg Val Tyr Leu Asn Gly Asp Gly Met Gly Lys Gly Thr His Leu Ser Leu Phe Phe Val Ile Met Arg Gly Glu 470 475 Tyr Asp Ala Leu Leu Pro Trp Pro Phe Lys Gln Lys Val Thr Leu Met Leu Met Asp Gln Gly Ser Ser Arg Arg His Leu Gly Asp Ala Phe Lys 505 Pro Asp Pro Asn Ser Ser Phe Lys Lys Pro Thr Gly Glu Met Asn Ile Ala Ser Gly Cys Pro Val Phe Val Ala Gln Thr Val Leu Glu Asn 535 Gly Thr Tyr Ile Lys Asp Asp Thr Ile Phe Ile Lys Val Ile Val Asp Thr Ser Asp Leu Pro Asp

(2) INFORMATION FOR SEQ ID NO:2:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 568 amino acids
 - (B) TYPE: amino acid

- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: peptide
- (ix) FEATURE:
 - (A) NAME/KEY: Peptide (B) LOCATION: 1..568
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:
- Met Glu Ser Ser Lys Lys Met Asp Ser Pro Gly Ala Leu Gln Thr Asn 1 5 10 15
- Pro Pro Leu Lys Leu His Thr Asp Arg Ser Ala Gly Thr Pro Val Phe 20 25 30
- Val Pro Glu Gln Gly Gly Tyr Lys Glu Lys Phe Val Lys Thr Val Glu
 35 40 45
- Asp Lys Tyr Lys Cys Glu Lys Cys His Leu Val Leu Cys Ser Pro Lys 50 60
- Gln Thr Glu Cys Gly His Arg Phe Cys Glu Ser Cys Met Ala Ala Leu 65 70 75 80
- Leu Ser Ser Ser Pro Lys Cys Thr Ala Cys Gln Glu Ser Ile Val 85 90 95
- Lys Asp Lys Val Phe Lys Asp Asn Cys Cys Lys Arg Glu Ile Leu Ala 100 105 110
- Leu Gln Ile Tyr Cys Arg Asn Glu Ser Arg Gly Cys Ala Glu Gln Leu 115 120 125
- Thr Leu Gly His Leu Leu Val His Leu Lys Asn Asp Cys His Phe Glu 130 135 140
- Glu Leu Pro Cys Val Arg Pro Asp Cys Lys Glu Lys Val Leu Arg Lys 145 150 155 160
- Asp Leu Arg Asp His Val Glu Lys Ala Cys Lys Tyr Arg Glu Ala Thr 165 170 175
- Cys Ser His Cys Lys Ser Gln Val Pro Met Ile Ala Leu Gln Lys His 180 \$180\$
- Glu Asp Thr Asp Cys Pro Cys Val Val Val Ser Cys Pro His Lys Cys 195 200 205
- Ser Val Gln Thr Leu Leu Arg Ser Glu Leu Ser Ala His Leu Ser Glu 210 215 220
- Cys Val Asn Ala Pro Ser Thr Cys Ser Phe Lys Arg Tyr Gly Cys Val 225 230 235 240

Phe Gln Gly Thr Asn Gln Gln Ile Lys Ala His Glu Ala Ser Ser Ala 250 Val Gln His Val Asn Leu Leu Lys Glu Trp Ser Asn Ser Leu Glu Lys Lys Val Ser Leu Gln Asn Glu Ser Val Glu Lys Asn Lys Ser Ile Gln Ser Leu His Asn Gln Ile Cys Ser Phe Glu Ile Glu Ile Glu Arg 295 Gln Lys Glu Met Leu Arg Asn Asn Glu Ser Lys Ile Leu His Leu Gln 310 Arg Val Ile Asp Ser Gln Ala Glu Lys Leu Lys Glu Leu Asp Lys Glu Ile Arg Pro Phe Arg Gln Asn Trp Glu Glu Ala Asp Ser Met Lys Ser Ser Val Glu Ser Leu Gln Asn Arg Val Thr Glu Leu Glu Ser Val Asp Lys Ser Ala Gly Gln Val Ala Arg Asn Thr Gly Leu Leu Glu Ser Gln 370 375 Leu Ser Arg His Asp Gln Met Leu Ser Val His Asp Ile Arg Leu Ala Asp Met Asp Leu Arg Phe Gln Val Leu Glu Thr Ala Ser Tyr Asn Gly Val Leu Ile Trp Lys Ile Arg Asp Tyr Lys Arg Arg Lys Gln Glu Ala 425 Val Met Gly Lys Thr Leu Ser Leu Tyr Ser Gln Pro Phe Tyr Thr Gly Tyr Phe Gly Tyr Lys Met Cys Ala Arg Val Tyr Leu Asn Gly Asp Gly 455 Met Gly Lys Gly Thr His Leu Ser Leu Phe Phe Val Ile Met Arg Gly Glu Tyr Asp Ala Leu Leu Pro Trp Pro Phe Lys Gln Lys Val Thr Leu Met Leu Met Asp Gln Gly Ser Ser Arg Arg His Leu Gly Asp Ala Phe 505 Lys Pro Asp Pro Asn Ser Ser Phe Lys Lys Pro Thr Gly Glu Met Asn Ile Ala Ser Gly Cys Pro Val Phe Val Ala Gln Thr Val Leu Glu 535

Asn Gly Thr Tyr Ile Lys Asp Asp Thr Ile Phe Ile Lys Val Ile Val

And the first that the three that there there there there there the table that the

Asp Thr Ser Asp Leu Pro Asp Pro 565

(2) INFORMATION FOR SEQ ID NO:3:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 2359 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

GGCGGCGGAG GATGCGCGCG	GCGCCTGAGC	CGGCCGAACG	GGCGGCCTCG	GGGTACAGGG	60
TCCCCATTAC TTGAAGGATA	AGGCTGGCAC	GGCTCCGACG	TCTGTGTGGA	AGCTTCTCCC	120
TCCCTTCTGA GCTTCTCTAG	ACTCCTTACA	GCGCACGGCA	CAGAATTTCA	GTTTCCTAAG	180
ATGGAGTCAA GCAAAAAGAT	GGATGCTGCT	GGCACACTGC	AGCCTAACCC	ACCCCTAAAG	240
CTGCAGCCTG ATCGCGGCGC	AGGGTCCGTG	CTCGTGCCGG	AGCAAGGAGG	CTACAAGGAG	300
AAGTTTGTGA AGACGGTGGA	AGACAAGTAC	AAGTGCGAGA	AGTGCCGCCT	GGTGCTGTGC	360
AACCCGAAGC AGACGGAGTG	TGGCCACCGG	TTCTGCGAGA	GCTGCATGGC	CGCCCTGCTG	420
AGCTCCTCCA GTCCAAAATG	CACAGCGTGC	CAAGAAAGCA	TCATCAAAGA	CAAGGTGTTT	480
AAGGATAATT GCTGCAAGAG	AGAGATTCTG	GCCCTTCAGG	TCTACTGTCG	GAATGAAGGC	540
AGAGGTTGTG CGGAGCAGCT	GACTCTGGGA	CATCTGCTGG	TGCACCTAAA	AAATGAATGT	600
CAGTTTGAGG AACTTCCCTG	TCTGCGTGCC	GACTGCAAAG	AAAAAGTACT	GAGAAAAGAC	660
TTGCGGGATC ACGTGGAAAA	GGCCTGTAAA	TACCGCGAGG	CCACGTGCAG	TCACTGCAAG	720
AGCCAAGTGC CCATGATCAA	ACTGCAGAAA	CATGAAGACA	CAGATTGTCC	CTGTGTGGTG	780
GTATCCTGCC CTCACAAGTG	CAGCGTTCAG	ACTCTTCTAA	GGAGTGAGTT	GAGTGCACAC	840
TTGTCCGAGT GTGTCAATGC	CCCCAGCACC	TGTAGTTTTA	AGCGCTATGG	CTGCGTTTTT	900
CAGGGTACAA ACCAGCAGAT	CAAGGCCCAT	GAGGCCAGCT	CCGCGGTACA	GCACGTGAAC	960
CTGCTGAAGG AGTGGAGCAA	CTCCCTGGAG	AAGAAGGTTT	CCCTGCTGCA	GAATGAAAGT	1020
GTTGAGAAAA ACAAGAGCAT	CCAAAGCCTG	CACAACCAGA	TCTGCAGCTT	TGAGATCGAG	1080
ATTGAGAGGC AGAAGGAGAT	GCTCCGAAAC	AACGAGTCCA	AGATCCTTCA	CCTGCAGCGG	1140

GTAATCGACA	GCCAAGCAGA	GAAACTGAAA	GAACTGGACA	AGGAGATCCG	TCCCTTCCGG	1200
CAGAACTGGG	AGGAAGCGGA	CAGCATGAAG	AGCAGTGTGG	AGTCCCTCCA	GAACCGAGTG	1260
ACTGAGCTGG	AGAGCGTAGA	CAAAAGTGCG	GGGCAGGCGG	CTCGCAACAC	AGGCTTGCTG	1320
GAGTCCCAGC	TGAGCCGGCA	TGACCAGACG	TTGAGTGTTC	ATGACATCCG	CTTGGCCGAC	1380
ATGGACCTGC	GGTTCCAGGT	CCTCGAGACC	GCCAGCTACA	ACGGGGTGCT	GATCTGGAAG	1440
ATCCGTGACT	ACAAGCGCCG	GAAGCAGGAG	GCCGTCATGG	GGAAGACCCT	GTCTCTCTAC	1500
AGCCAGCCTT	TCTACACAGG	TTATTTTGGC	TATAAGATGT	GTGCCAGGGT	CTACCTGAAT	1560
GGGGACGGAA	TGGGGAAAGG	GACACACTTG	TCGCTGTTTT	TTGTCATTAT	GCGTGGAGAA	1620
TATGATGCTC	TGTTGCCATG	GCCGTTCAAG	CAGAAAGTGA	CACTTATGCT	GATGGATCAG	1680
GGGTCCTCTC	GCCGTCATCT	GGGAGATGCG	TTCAAGCCTG	ACCCCAACAG	CAGCAGCTTC	1740
AAGAAACCCA	CCGGAGAGAT	GAATATCGCC	TCTGGCTGCC	CAGTCTTTGT	CGCCCAAACT	1800
GTTCTAGAGA	ACGGGACGTA	TATTAAAGAT	GATACAATCT	TTATTAAGGT	CATAGTGGAT	1860
ACCTCGGATC	TGCCTGACCC	CTGACAAGAA	AGCAGGGCGG	TGGATTCAGC	AGAAGGTAAC	1920
TCCTCTGGGG	GGGTGAGCTA	GTGTCTTCAC	GGAGGTCCTC	GCCCTCAGAA	AGGACCTTGT	1980
GGCGCAGAGG	AAGCAGCCGG	AGGAGGAGAA	GGAGGTCGAG	TGGCTGGCAG	GAGAGCCACA	2040
TGTGAAAACA	GACCCCAACG	GATTTTCTAA	TAAACTAGCC	ACACCCACTC	TGAAGGATTA	2100
TTTATCCATC	AACAAGATAA	ATACTGCTGT	CAGAGAAGGT	TTTCATTTTC	ATTTTAAAAG	2160
ATCTAGTATT	AAGGTGGGAA	CATATATGCT	AAAAAGAAAC	ATGATTTTTC	TTCCTTAACT	2220
TAAACACCAA	AAAGAGAACA	CATGTGGGGG	TAGCTGGAGT	GTGTACAGTA	CCTCGAGGGC	2280
TTAAAATCAT	AAACAATCAC	ATACTCATCC	TAAAATTCAG	GGTGCAACTC	CGTTTCAAAT	2340
ATTGTATATT	GTCTATTTA					2359

(2) INFORMATION FOR SEQ ID NO:4:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 2455 base pairs

 - (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4: CGGGGGAGCG CGGCGCGCC GCCGCGTGCG CGAGCCGGGG TTGCAGCCCA GCCGGGACTT 60

TCCAGCCGGC	GGCAGCCGCG	GCGGTCGTCG	GCTCTTCCCC	GCCCCCGTC	ATGGGGCAGC	120
CCGGGGAGCA	GAACGCTGCG	GACCGCGGCG	GAGGACGCGC	CCGGCGCCCC	TGAGCCGGCC	180
GAGCGGCGAC	GGACCGCGAG	AACTCCTCTT	TCCTAAAATG	GAGTCGAGTA	AAAAGATGGA	240
CTCTCCTGGC	GCGCTGCAGA	CTAACCCGCC	GCTAAAGCTG	CACACTGACC	GTAGTGCTGG	300
GACGCCAGTT	TTTGTCCCTG	AACAAGGAGG	TTACAAGGAA	AAGTTTGTGA	AGACCGTGGA	360
GGACAAGTAC	AAGTGTGAGA	AGTGCCACCT	GGTGCTGTGC	AGCCCGAAGC	AGACCGAGTG	420
TGGGCACCGC	TTCTGCGAGA	GCTGCATGGC	GGCCCTGCTG	AGCTCTTCAA	GTCCAAAATG	480
TACAGCGTGT	CAAGAGAGCA	TCGTTAAAGA	TAAGGTGTTT	AAGGATAATT	GCTGCAAGAG	540
AGAAATTCTG	GCTCTTCAGA	TCTATTGTCG	GAATGAAAGC	AGAGGTTGTG	CAGAGCAGTT	600
AACGCTGGGA	CATCTGCTGG	TGCATTTAAA	AAATGATTGC	CATTTTGAAG	AACTTCCATG	660
TGTGCGTCCT	GACTGCAAAG	AAAAGGTCTT	GAGGAAAGAC	CTGCGAGACC	ACGTGGAGAA	720
GGCGTGTAAA	TACCGGGAAG	CCACATGCAG	CCACTGCAAG	AGTCAGGTTC	CGATGATCGC	780
GCTGCAGAAA	CACGAAGACA	CCGACTGTCC	CTGCGTGGTG	GTGTCCTGCC	CTCACAAGTG	840
CAGCGTCCAG	ACTCTCCTGA	GGAGCGAGTT	GAGTGCACAC	TTGTCAGAGT	GTGTCAATGC	900
CCCCAGCACC	TGTAGTTTTA	AGCGCTATGG	CTGCGTTTTT	CAGGGGACAA	ACCAGCAGAT	960
CAAGGCCCAC	GAGGCCAGCT	CCGCCGTGCA	GCACGTCAAC	CTGCTGAAGG	AGTGGAGCAA	1020
CTCGCTCGAA	AAGAAGGTTT	CCTTGTTGCA	GAATGAAAGT	GTAGAAAAA	ACAAGAGCAT	1080
ACAAAGTTTG	CACAATCAGA	TATGTAGCTT	TGAAATTGAA	ATTGAGAGAC	AAAAGGAAAT	1140
GCTTCGAAAT	AATGAATCCA	AAATCCTTCA	TTTACAGCGA	GTGATCGACA	GCCAAGCAGA	1200
GAAACTGAAG	GAGCTTGACA	AGGAGATCCG	GCCCTTCCGG	CAGAACTGGG	AGGAAGCAGA	1260
CAGCATGAAG	AGCAGCGTGG	AGTCCCTCCA	GAACCGCGTG	ACCGAGCTGG	AGAGCGTGGA	1320
CAAGAGTGCG	GGGCAAGTGG	CTCGGAACAC	AGGCCTGCTG	GAGTCCCAGC	TGAGCCGGCA	1380
TGACCAGATG	CTGAGTGTGC	ACGACATCCG	CCTAGCCGAC	ATGGACCTGC	GCTTCCAGGT	1440
CCTGGAGACC	GCCAGCTACA	ATGGAGTGCT	CATCTGGAAG	ATTCGCGACT	ACAAGCGGCG	1500
GAAGCAGGAG	GCCGTCATGG	GGAAGACCCT	GTCCCTTTAC	AGCCAGCCTT	TCTACACTGG	1560
TTACTTTGGT	TATAAGATGT	GTGCCAGGGT	CTACCTGAAC	GGGGACGGGA	TGGGGAAGGG	1620
GACGCACTTG	TCGCTGTTTT	TTGTCATCAT	GCGTGGAGAA	TATGATGCCC	TGCTTCCTTG	1680
GCCGTTTAAG	CAGAAAGTGA	CACTCATGCT	GATGGATCAG	GGGTCCTCTC	GACGTCATTT	1740
GGGAGATGCA	TTCAAGCCCG	ACCCCAACAG	CAGCAGCTTC	AAGAAGCCCA	CTGGAGAGAT	1800

GAATATCGCC	TCTGGCTGCC	CAGTCTTTGT	GGCCCAAACT	GTTCTAGAAA	ATGGGACATA	1860
TATTAAAGAT	GATACAATTT	TTATTAAAGT	CATAGTGGAT	ACTTCGGATC	TGCCCGATCC	1920
CTGATAAGTA	GCTGGGGAGG	TGGATTTAGC	AGAAGGCAAC	TCCTCTGGGG	GATTTGAACC	1980
GGTCTGTCTT	CACTGAGGTC	CTCGCGCTCA	GAAAAGGACC	TTGTGAGACG	GAGGAAGCGG	2040
CAGAAGGCGG	ACGCGTGCCG	GCGGGAGGAG	CCACGCGTGA	GCACACCTGA	CACGTTTTAT	2100
AATAGACTAG	CCACACTTCA	CTCTGAAGAA	TTATTTATCC	TTCAACAAGA	TAAATATTGC	2160
TGTCAGAGAA	GGTTTTCATT	TTCATTTTTA	AAGATCTAGT	TAATTAAGGT	GGAAAACATA	2220
TATGCTAAAC	AAAAGAAACA	TGATTTTTCT	TCCTTAAACT	TGAACACCAA	AAAAACACAC	2280
ACACACACAC	ACGTGGGGAT	AGCTGGACAT	GTCAGCATGT	TAAGTAAAAG	GAGAATTTAT	2340
GAAATAGTAA	TGCAATTCTG	ATATCTTCTT	TCTAAAATTC	AAGAGTGCAA	TTTTGTTTCA	2400
AATACAGTAT	ATTGTCTATT	TTTAAGGCCT	ссаааааааа	AAAAAATTCC	GGCCG	2455

(2) INFORMATION FOR SEQ ID NO:5:

- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 6 amino acids (B) TYPE: amino acid

 - (C) STRANDEDNESS: single (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: other nucleic acid
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

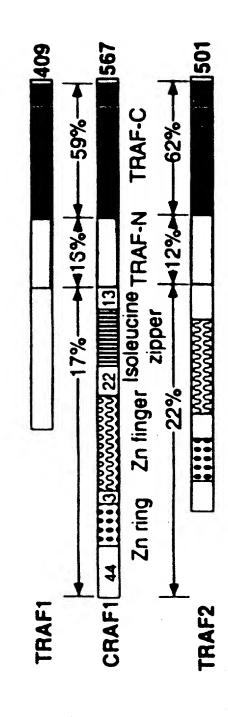
Lys Ala Cys Lys Tyr Arg

1/11

FIG. 1

MESSKKMDAAGTLQPNPPLKLQPDRGAG.SVLVPEQGGYKEKFVKTVEDK	49
YKCEKCRLVLCNPKQTECGHRFCESCMAALLSSSSPKCTACQESIIKDKV	99
FKDNCCKREILALQVYCRNEGRGCAEQLTLGHLLVHLKNECQFEELPCLR	149
ADCKEKVLRKDLRDHVEKACKYREATCSHCKSQVPMIKLQKHEDTDCPCV	199
VVSCPHKCSVQTLLRSELSAHLSECVNAPSTCSFKRYGCVFQGTNQQIKA	249
HEASSAVQHVNLLKEWSNSLEKKVSLLQNESVEKNKSIQSLHNQICSFEI	299
EIERQKEMLRNNESKILHLQRVIDSQAEKLKELDKEIRPFRQNWEEADSM	349
KSSVESLQNRVTELESVDKSAGQAARNTGLLESQLSRHDQTLSVHDIRLA	399
DMDLRFQVLETASYNGVLIWKIRDYKRRKQEAVMGKTLSLYSQPFYTGYF	449
GYKMCARVYLNGDGMGKGTHLSLFFVIMRGEYDALLPWPFKQKVTLMLMD	499
QGSSRRHLGDAFKPDPNSSSFKKPTGEMNIASGCPVFVAQTVLENGTYIK	549
DDTIFIKVIVDTSDLPDP	567
	YKCEKCRLVLCNPKQTECGHRFCESCMAALLSSSSPKCTACQESIIKDKV HS

FIG. 2A



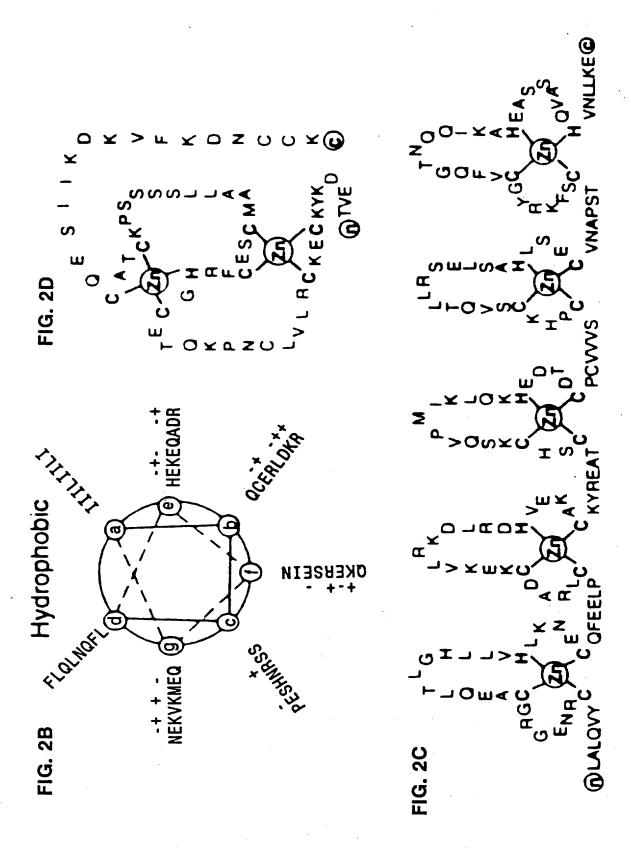


FIG. 3

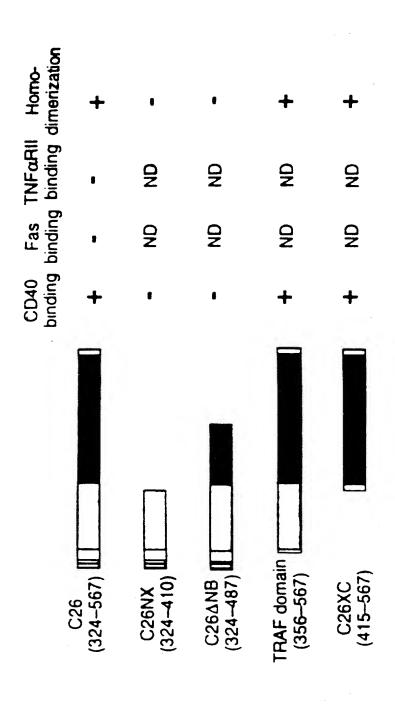
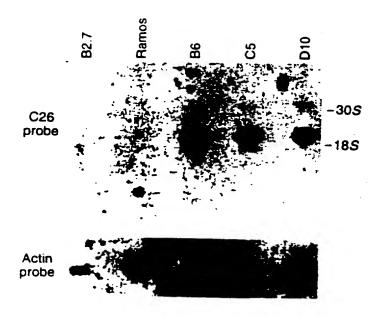
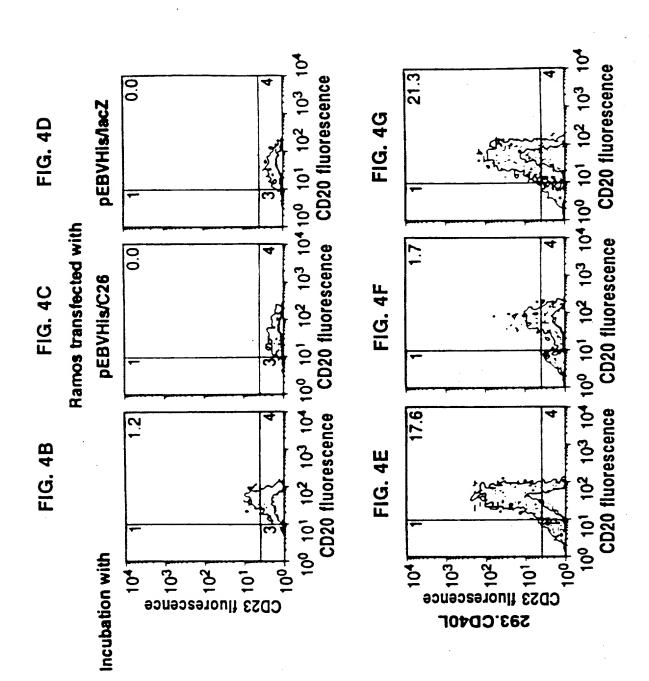


FIG. 4A





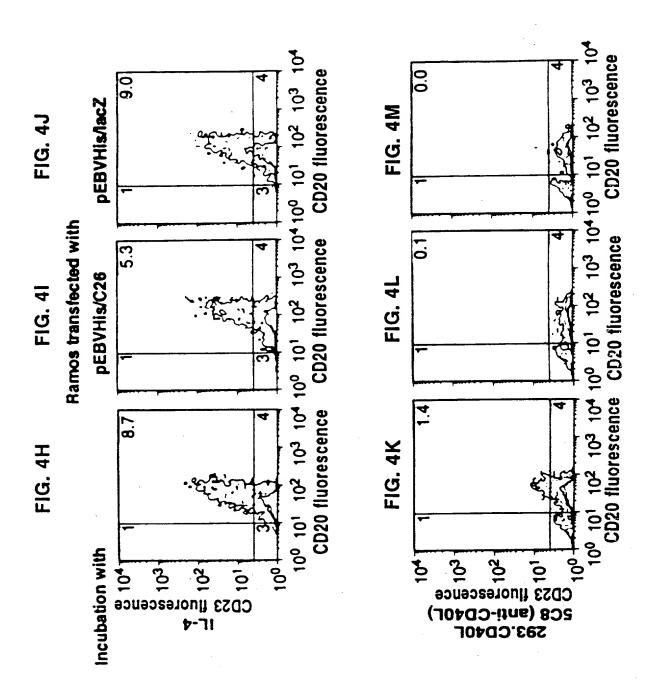


FIG. 5A

-	GGCGGCGGAG	GATGCGCGCG	GCGCCTGAGC	CGGCCGAACG	GGCGGCCTCG	GGGTACAGGG
61	TCCCCATTAC	TTGAAGGATA	AGGCTGGCAC	GGCTCCGACG	TCTGTGTGGA	AGCTTCTCCC
121	TCCCTTCTGA	GCTTCTCTAG	ACTCCTTACA	GCGCACGGCA	CAGAATTTCA	GTTTCCTAAG
181	ATGGAGTCAA	GCANNAGAT	GGATGCTGCT	GGCACACTGC	AGCCTAACCC	ACCCCTAAAG
241	CTGCAGCCTG	ATCGCGGCGC	AGGGTCCGTG	CTCGTGCCGG	AGCAAGGAGG	CTACAAGGAG
301	AAGTTTGTGA	ACACGGTGGA	AGACAAGTAC	AAGTGCGAGA	AGTGCCGCCT	GGTGCTGTGC
361	AACCCGNAGC	AGACGGAGTG	TGGCCACCGG	TTCTGCGAGA	GCTGCATGGC	CGCCCTGCTG
421	AGCTCCTCCA	GTCCAAAATG	CACAGOGTGC	CANGANAGCA	TCATCAAAGA	CAAGGTGTTT
481	AAGGATAATT	GCTGCAAGAG	AGAGATTCTG	GCCCTTCAGG	TCTACTGTCG	GAATGAAGGC
541	AGAGGTTGTG	CGGAGCAGCT	GACTCTGGGA	CATCTGCTGG	TGCACCTAAA	AAATGAATGT
601	CAGTTTGAGG	MCTTCCCTG	TCTGCGTGCC	GACTGCAAAG	AAAAAGTACT	GAGAAAAGAC
661	TTGCGGGATC	ACGTGGNANA	GGCCTGTAAA	TACCGCGAGG	CCACGTGCAG	TCACTGCAAG
721	AGCCAAGTGC	CCATGATCAA	ACTGCAGAAA	CATGAAGACA	CAGATTGTCC	CTGTGTGGTG
781	GTATCCTGCC	CTCACAAGTG	CAGCGTTCAG	ACTCTTCTAA	GGAGTGAGTT	GAGTGCACAC
841	TTGTCCGAGT	GIGICAAIGC	CCCCAGCACC	TGTAGTTTTA	AGCGCTATGG	CIGCGITITI
901	CAGGGTACAA	ACCAGCAGAT	CAAGGCCCAT	GAGGCCAGCT	CCGCGGTACA	GCACGTGAAC
961		AGTGGAGCAA	CTCCCTGGAG	AAGAAGGTTT	CCCTGCTGCA	GAATGAAAGT
1021		ACAAGAGCAT	CCAAAGCCTG	CACAACCAGA	TCTGCAGCTT	TGAGATCGAG
1081		AGAAGGAGAT	GCTCCGAAAC	AACGAGTCCA	AGATCCTTCA	CCTGCAGCGG
1141	GTAATCGACA	GCCAAGCAGA	GAAACTGAAA	GAACTGGACA	AGGAGATCCG	TCCCTTCCGG
1201		AGGAAGCGGA	CAGCATGAAG	AGCAGTGTGG	AGTCCCTCCA	GAACCGAGTG
1261	ACTGAGCTGG	AGAGCGTAGA	CAAAAGTGCG	GGGCAGGCGG	CTCGCAACAC	AGGCTTGCTG
1321	GAGTCCCAGC	TGAGCCGGCA	TGACCAGACG	TTGAGTGTTC	ATGACATCCG	CTTGGCCGAC
1381		GGTTCCAGGT	CCTCGAGACC	GCCAGCTACA	ACGGGGTGCT	GATCTGGAAG
1441	ATCCGTGACT	ACAAGCGCCG	GAAGCAGGAG	GCCGTCATGG	GGAAGACCCT	GTCTCTCTAC

FIG. 5B

1501	1501 AGCCAGCCTT		TCTACACAGG TTATTTGGC	TATAAGATGT	GTGCCAGGGT	CTACCTGAAT
1561	GGGGACGGAA	TGGGGAAAGG	TGGGGAAAGG GACACATTG	TCGCTGTTTT	TTGTCATTAT	GCGTGGAGAA
1621	TATGATGCTC	TGTTGCCATG	TGTTGCCATG GCCGTTCAAG CAGAAAGTGA	CAGAAAGTGA	CACTTATGCT	GATGGATCAG
1681	GGGTCCTCTC	GCCGTCATCT		TTCAAGCCTG	GGGAGATGCG TTCAAGCCTG ACCCCAACAG CAGCAGCTTC	CAGCAGCTTC
1741	NAGANACCCA	CCGGAGAGAT	GAATATCGCC	TCTGGCTGCC	GAATATCGCC TCTGGCTGCC CAGTCTTTGT CGCCCAAACT	CGCCCAAACT
1801		GTTCTAGAGA ACGGACGTA TATTAAAGAT GATACAATCT	TATTAAAGAT	GATACAATCT	TTATTAAGGT CATAGTGGAT	CATAGTGGAT
1861	ACCTCGGATC	TGCCTGACCC	CTGACAAGAA	AGCAGGGCGG	TRICTRIACE CTEARAGAA AGCAGGGGG TGGATTCAGC AGAAGGTAAC	AGAAGGTAAC
1921		GGGTGAGCTA	GTGTCTTCAC	GGAGGTCCTC	TCCTCTGGGG GGGTGAGCTA GTGTCTTCAC GGAGGTCCTC GCCCTCAGAA AGGACCTTGT	AGGACCTTGT
1981	GGCGCAGAGG	AAGCAGCCGG	ACCINCINGAN	GGAGGTCGAG	AAGCAGTCGC AGGAGGAGAA GGAGGTCGAG TGGCTGGCAG GAGAGCCACA	GAGAGCCACA
2041		TGTGAAAACA GACCCCAACG	GATTTTCTAA	TAMACTAGCC	ACACCCACTC TGAAGGATTA	TGAAGGATTA
2101	TTTATCCATC	MCMGATA	ATACTGCTGT	CAGAGAAGGT	TTTCATTTTC	ATTTTAAAAG
2161	ATCTAGTATT	AAGGTGGGAA	AAGGTGGGAA CATATATGCT	AAAAAGAAAC	ATGATTTTC TTCCTTAACT	TTCCTTAACT
2221		TAAACACCAA AAAGAGAACA	CATGTGGGGG	TAGCTGGAGT	GTGTACAGTA CCTCGAGGGC	CCTCGAGGGC
2281	TTAAAATCAT	AAACAATCAC	AAACAATCAC ATACTCATCC	TAAAATTCAG	TAAAATTCAG GGTGCAACTC CGTTTCAAAT	CGTTTCAAAT
2341	ATTGTATATT GTCTATTTA	GTCTATTTA				

FIG. 6A

_	CGGGGGAGCG	2295252992	GCCGCGTGCG	CGAGCCGGGG	TTGCAGCCCA	GCCGGGACTT
61	TCCAGCCGGC	GGCAGCCGCG	GCGGTCGTCG	GCTCTTCCCC	GCCCCCGTC	ATGGGGCAGC
121	CCGGGGAGCA	GAACGCTGCG	GACCGCGGCG	GAGGACGCGC	೦೦೦೮೦೮೦೦೦	TGAGCCGGCC
181	GAGCGGCGAC	GGACCGCGAG	MCTCCTCTT	TCCTANAATG	GAGTCGAGTA	AAAAGATGGA
241	CTCTCCTGGC	GCCC FGCAGA	CTAACCCGCC	GCTAAAGCTG	CACACTGACC	GTAGTGCTGG
301	GACGCCAGTT	TTTGFCCCTG	NACNAGGAGG	TTACAAGGAA	AAGTTTGTGA	AGACCGTGGA
361	GGACAAGTAC	AAGTGTGAGA	AGTGCCACCT	GGTGCTGTGC	AGCCCGAAGC	AGACCGAGTG
421	TGGGCACCGC	TTCTGCGAGA	GCTGCATGGC	GGCCCTGCTG	AGCTCTTCAA	GTCCAAAATG
481	TACAGCGTGT	CAAGAGAGCA	TCGTTANAGA	TAAGGTGTTT	AAGGATAATT	GCTGCAAGAG
541	AGAAATTCTG	GCTCTTCAGA	TCTATTGTCG	GAATGAAAGC	AGAGGTTGTG	CAGAGCAGTT
601	AACGCTGGGA	CATCTGCTGG	TGCATTTAAA	AAATGATTGC	CATTTTGAAG	AACTTCCATG
661	TGTGCGTCCT	GACTGCAAAG	AAAAGGTCTT	GAGGAAAGAC	CTGCGAGACC	ACGTGGAGAA
721	GGCGTGTAAA	TACCGGGAAG	CCACATGCAG	CCACTGCAAG	AGTCAGGTTC	CGATGATCGC
781	GCTGCAGAAA	CACGAAGACA	CCGACTGTCC	CTGCGTGGTG	GTGTCCTGCC	CTCACAAGTG
841	CAGCGTCCAG	ACTCTCCTGA	GGAGCGAGTT	GAGTGCACAC	TTGTCAGAGT	GTGTCAATGC
901	CCCCAGCACC	TGTAGTTTTA	AGCGCTATGG	CTGCGTTTTT	CAGGGGACAA	ACCAGCAGAT
961	CAAGGCCCAC	GAGGCCAGCT	CCGCCGTGCA	GCACGTCAAC	CTGCTGAAGG	AGTGGAGCAA
021	CTCGCTCGAA	AAGAAGGTTT	CCTTGTTGCA	GAATGAAAGT	GTAGAAAAAA	ACAAGAGCAT
081	ACAAAGTTTG	CACAATCAGA	TATGTAGCTT	TGAAATTGAA	ATTGAGAGAC	AAAAGGAAAT
141	GCTTCGAAAT	AATGAATCCA	AAATCCTTCA	TTTACAGCGA	GTGATCGACA	GCCAAGCAGA
201	GAAACTGAAG	GAGCTTGACA	AGGAGATCCG	GCCCTTCCGG	CAGAACTGGG	AGGAAGCAGA
261	CAGCATGAAG	AGCAGCGTGG	AGTCCCTCCA	GAACCGCGTG	ACCGAGCTGG	AGAGCGTGGA
321	CAAGAGTGCG	GGGCAAGTGG	CTCGGAACAC	AGGCCTGCTG	GAGTCCCAGC	TGAGCCGGCA
381	TGACCAGATG	CTGAGTGTGC	ACGACATCCG	CCTAGCCGAC	ATGGACCTGC	GCTTCCAGGT
441	CCTGGAGACC	GCCAGCTACA	ATGGAGTGCT	CATCTGGAAG	ATTCGCGACT	ACAAGCGGCG

FIG. 6B

99	99	TG	ΤΤ	AT	TA	CC	$\mathcal{C}^{\mathcal{C}}$	99	AT	CC	TA	AC	AT	CA	
PCT	SAAG	CCL	CAT	AGAG	SACA	GAT	IGAA	AAGC	LTTI	LATT	AACA	ACAC	ATTT	BTTT	(7)
TCTACACTGG	TGGGGAAGGG	TGCTTCCTTG	GACGTCATTT	CTGGAGAGAT	ATGGGACATA	TGCCCGATCC	GATTTGAACC	GAGGAAGCGG	CACGTTTTAT	TAAATATTGC	GGAAAACATA	AAAAACACAC	GAGAATTTAT	TTTTGTTTCA	ອລລອອ
	•	-													
AGCC	ACGG	ATGC	CCTC	AGCC	TAGA	CGGA	CTGG	GAGA	ACCT	ACAA	TAAG	CACC	TAAA	GTGC	AATT
AGCCAGCCTT	GGGGACGGGA	TATGATGCCC	GGGTCCTCTC	AAGAAGCCCA	GTTCTAGAAA	ACTTCGGATC	TCCTCGGGG	TTGTGAGACG	GCACACCTGA	TTCAACAAGA	TAATTAAGGT	TGAACACCAA	TAAGTAAAAG	AAGAGTGCAA	AAAAAATTCC
										-) LOY	_		
CTT	CTACCTGAAC	GGA(GAT	CAGCAGCTTC	GGCCCANACT	CATAGTGGAT	AGAAGGCAAC	GAAAAGGACC	CCACGCGTGA	TTATTTATCC	AAGATCTAGT	TCCTTAAACT	GTCAGCATGT	TCTAAAATTC	CCAAAAAAA
GCCGTCATGG GGAAGACCCT GTCCCTTTAC	CTAC	GCGTGGAGAA	GATGGATCAG	CAGC	2299	CATA	NGAP	GAAA	CCAC	TTAT	AAGA	TCCI	GTCA	TCTA	CCAP
CCT	GGT	CAT	CACTCATGCT	CAG	TGT	AGT	AGC	TCA	GAG	GAA	TTA	TCT	CAT	CTT	CCT
AGAC	GTGCCAGGGT	TTGTCATCAT	I'C'AT	ACCCCAACAG	CAGTCTTTGT	TTATTAAAGT	TGGATTTAGG	CTCGCGCTCA	GAG	CTCTGAAGAA	TTCATTTTA	TGATTTTTCT	AGCTGGACAT	ATATCTTCTT	TTTAAGGCCT
GGA	GTC	110				TTA	T(;(;		ACCCCTCCC GCCCCAGGAG	CTC	TTC	TGA	AGC'	ATA	TTT,
TGG	TGT	TTT	CAGAAAGTGA	FTCAAGCCCG	TCTGGCTGCC	TTT	GCTGGGGAAGG	CACTGAGGTC	50.05	CCACACTTCA	ATT	AACA	ACGTGGGGAT	CTG	ATTGTCTATT
GTCP	TATAAGATGT	TCGCTGTTTT	AMA	AAGC	1.299	GATACAATTT	5999	TGAC	CGTC	CACI	GGTTTTCATT	AAAAGAAACA	TGGC	TGCAATTCTG	GTCI
	•	TCG		•	TCT	GAT	GCT	CAC						_	
GAAGCAGGAG	rggr	GACGCACTTG	GCCGTTTAAG	GGGAGATGCA	GAATATCGCC	AGA1	CTGATAAGTA	rctt	CAGAAGGCGG	AATAGACTAG	TGTCAGAGAA	TATGCTAAAC	ACAC	GAAATAGTAA	AATACAGTAT
GCAC	TTACTTTGG	CCAC	GTT	BAGA	TAT	TATTAAAGA	SATA	GGTCTGTCT	:AAG	'AGA	CAG	GCT.	ACACACACA	ATA(ACA
GAP	TT														
1501	1561	1621	1681	1741	1801	1861	1921	1981	2041	2101	2161	2221	2281	2341	2401